

数字信号处理

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2015. 11



第五章 数字滤波器

FIR数字滤波器

频率取样设计法

设计原理

$$\begin{aligned} H(k) &= H_d(k) = H_d(z) \Big|_{z=e^{j(\frac{2\pi}{N})k}} \\ &= H_d(e^{j\omega}) \Big|_{\omega=(\frac{2\pi}{N})k} \end{aligned}$$

内插公式

$$H(z) = \frac{1 - z^{-N}}{N} \sum_{k=0}^{N-1} \frac{H(k)}{1 - W_N^{-k} z^{-1}}$$

$$\left\{ \begin{aligned} H(z) &= \sum_{k=0}^{N-1} H(k) \Phi_k(z) \\ \Phi_k(z) &= \frac{1}{N} \frac{1 - z^{-N}}{1 - W_N^{-k} z^{-1}} \end{aligned} \right.$$

设计原理

$$\mathbf{z} = \mathbf{e}^{j\omega}$$

$$\begin{cases} \mathbf{H}(\mathbf{e}^{j\omega}) = \sum_{k=0}^{N-1} \mathbf{H}(k) \Phi_k(\mathbf{e}^{j\omega}) \\ \Phi_k(\mathbf{e}^{j\omega}) = \frac{1}{N} \frac{1 - \mathbf{e}^{-jN\omega}}{1 - \mathbf{e}^{-j(\omega - k\frac{2\pi}{N})}} \end{cases}$$

$$\Phi_k(\mathbf{e}^{j\omega}) = \frac{1}{N} \frac{\sin\left(\frac{N\omega}{2}\right)}{\sin\left(\frac{\omega}{2} - \frac{\pi k}{N}\right)} \mathbf{e}^{-j\left(\frac{N\omega}{2} - \frac{\omega}{2} + \frac{\pi k}{N}\right)}$$

$$\Phi_k(\mathbf{e}^{j\omega}) = \Phi\left(\omega - k\frac{2\pi}{N}\right)$$

$$\Rightarrow \Phi(\omega) = \frac{1}{N} \frac{\sin\left(\frac{N\omega}{2}\right)}{\sin\left(\frac{\omega}{2}\right)} \mathbf{e}^{-j\left(\frac{N-1}{2}\right)\omega}$$

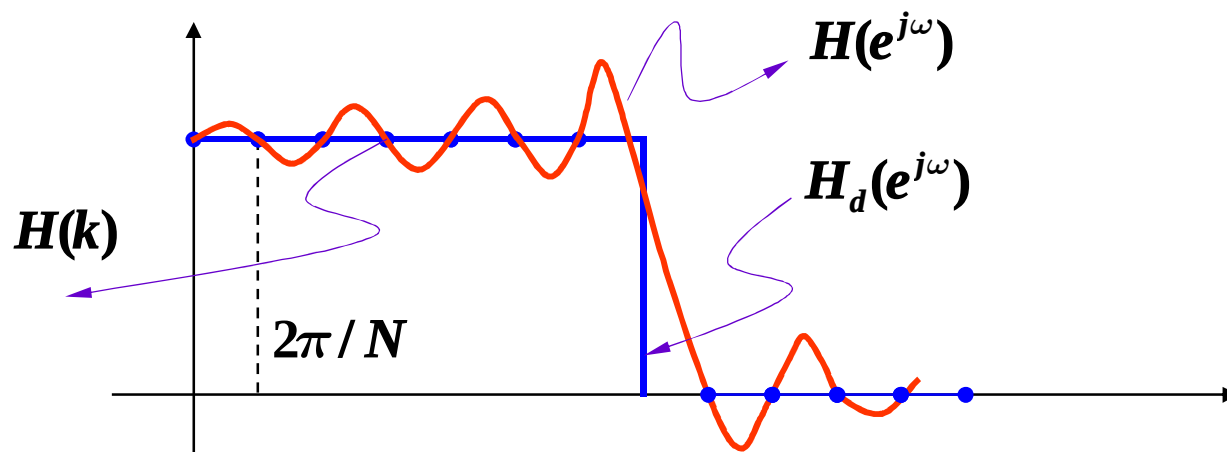
$$\Rightarrow \mathbf{H}(\mathbf{e}^{j\omega}) = \sum_{k=0}^{N-1} \mathbf{H}(k) \Phi\left(\omega - k\frac{2\pi}{N}\right)$$

$$= \frac{\mathbf{e}^{-j\left(\frac{N-1}{2}\right)\omega}}{N} \sum_{k=0}^{N-1} \mathbf{H}(k) \left[\frac{\sin\left(\frac{N\omega}{2}\right)}{\sin\left(\frac{\omega}{2} - \frac{\pi k}{N}\right)} \mathbf{e}^{-j\frac{\pi k}{N}} \right]$$

$$H(e^{j\omega}) = \sum_{k=0}^{N-1} H(k) \Phi\left(\omega - k \frac{2\pi}{N}\right) = \frac{e^{-j\left(\frac{N-1}{2}\right)\omega}}{N} \sum_{k=0}^{N-1} H(k) \left[\frac{\sin\left(\frac{N\omega}{2}\right)}{\sin\left(\frac{\omega}{2} - \frac{\pi k}{N}\right)} e^{-j\frac{\pi k}{N}} \right]$$

$$s(\omega, k) = \frac{1}{N} e^{-j\frac{\pi k}{N}} \frac{\sin\left(\frac{N\omega}{2}\right)}{\sin\left(\frac{\omega}{2} - \frac{\pi k}{N}\right)} \Rightarrow H(e^{j\omega}) = e^{-j\left(\frac{N-1}{2}\right)\omega} \sum_{k=0}^{N-1} H(k) s(\omega, k)$$

内插函数



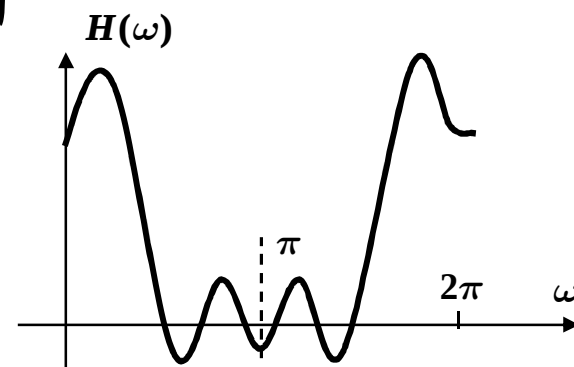
- 抽样点上，频率响应严格相等
- 抽样点之间，加权内插函数的延伸叠加
- 变化越平缓，内插越接近理想值，逼近误差较小

线性相位约束条件

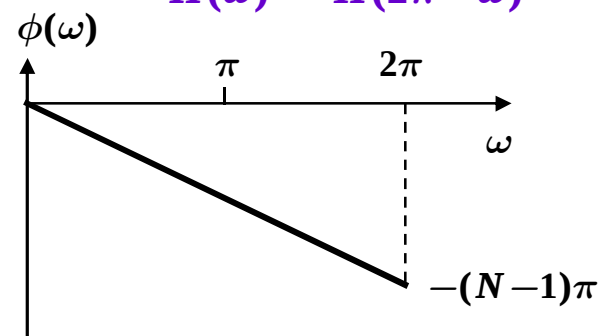
对第一类线性相位滤波器， $h(n)$ 为偶对称， N 为奇数

$$H(e^{j\omega}) = H(\omega)e^{j\theta(\omega)}$$

$$\begin{cases} H(\omega) = \sum_{n=0}^{\frac{N-1}{2}} a(n) \cos[\omega n] \\ \theta(\omega) = -\left(\frac{N-1}{2}\right)\omega \end{cases}$$



$$H(\omega) = H(2\pi - \omega)$$



$$H(k) = H(e^{j\omega}) \Big|_{\omega = \frac{2\pi k}{N}} \quad k = 0, 1, 2, \dots, N-1$$

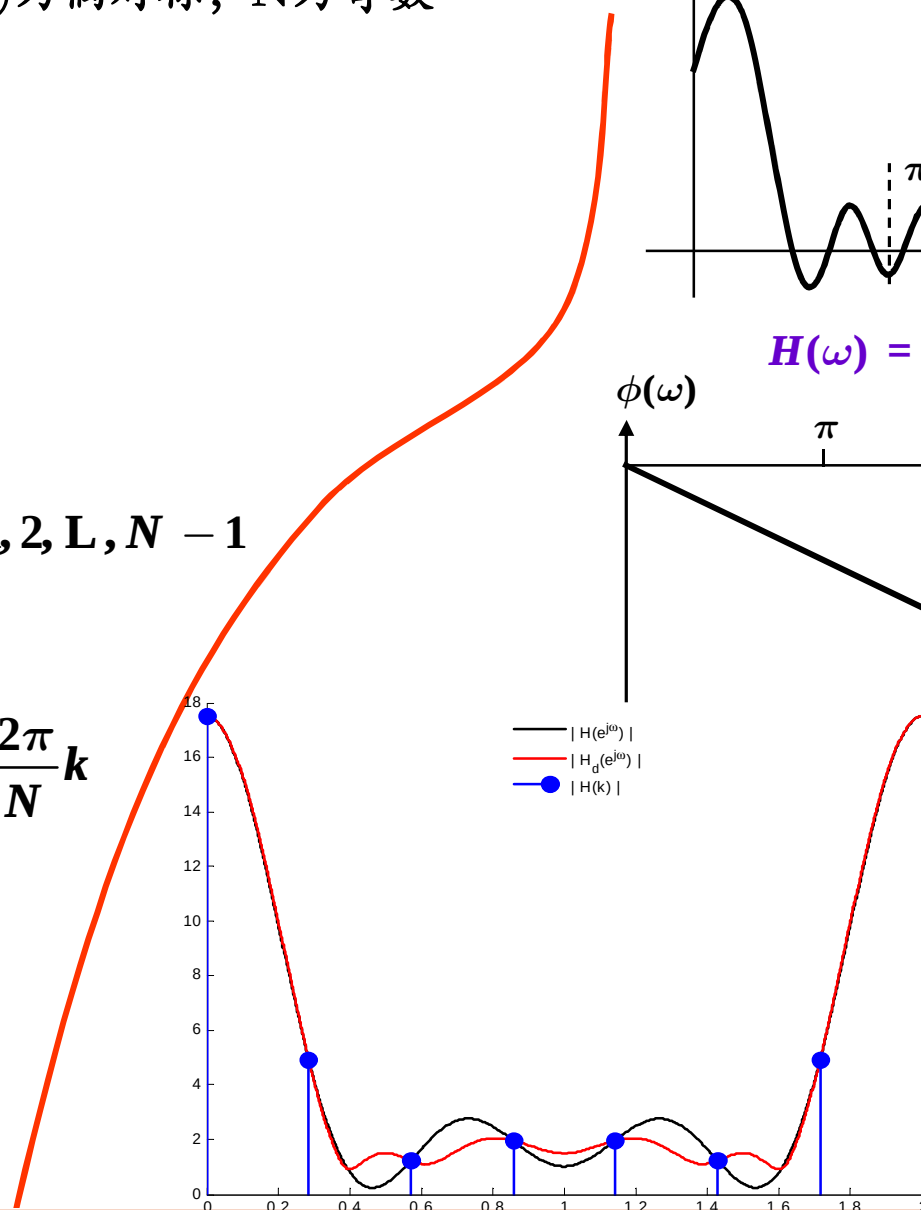
$$\begin{cases} H(k) = H_k e^{j\theta_k} \\ \theta_k = \theta(\omega) \Big|_{\omega = \frac{2\pi k}{N}} = -\left(\frac{N-1}{2}\right) \frac{2\pi k}{N} \end{cases}$$

$$H(\omega) = H(2\pi - \omega)$$

$$\Rightarrow H_k = H_{N-k}$$

N 为偶数:

$$H_k = -H_{N-k}$$



A summary:

① 时域:

$$h(n) = \pm h(N - n - 1)$$

② 频域:

$$H(e^{j\omega}) = H(\omega)e^{j\left(\frac{L}{2}\pi - \frac{N-1}{2}\omega\right)}$$

$$H(z) = (-1)^L z^{-(N-1)} H(z^{-1})$$

— $H(\omega)$ 为实函数

— $h(n)$ 偶对称: $L = 0$

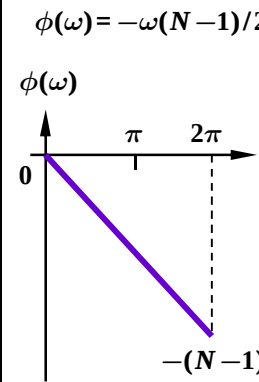
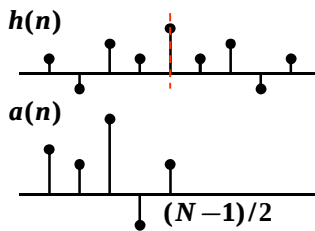
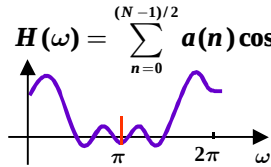
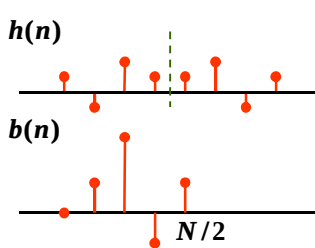
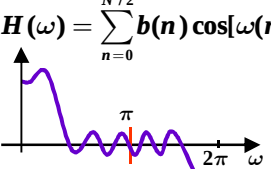
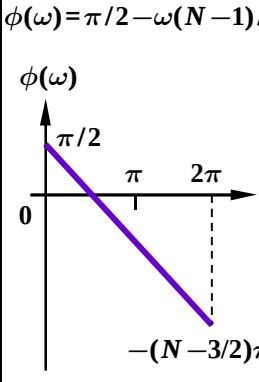
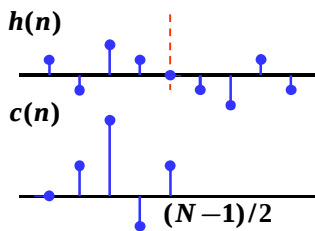
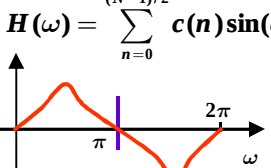
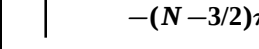
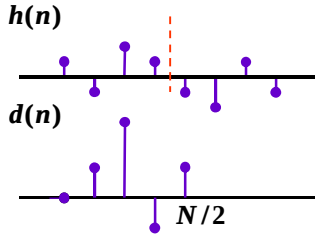
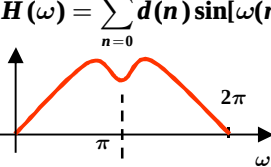
— $h(n)$ 奇对称: $L = 1$

③ 零点:

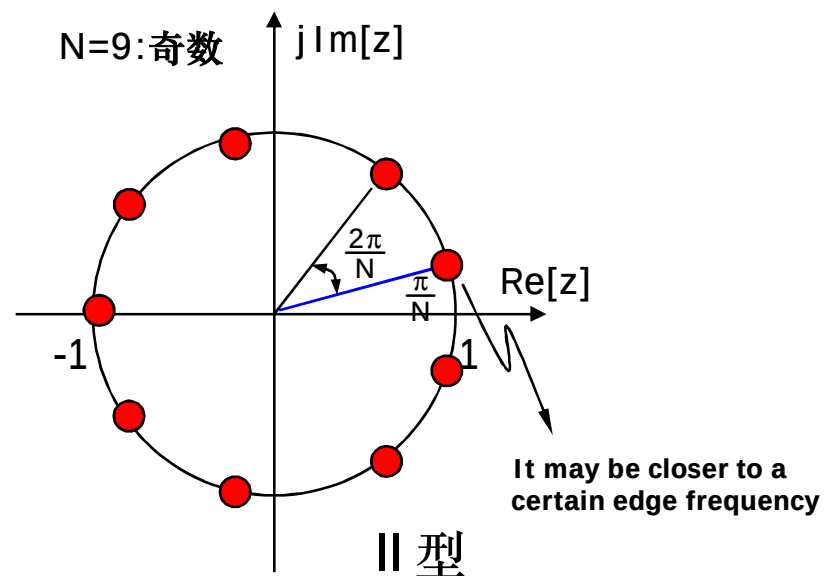
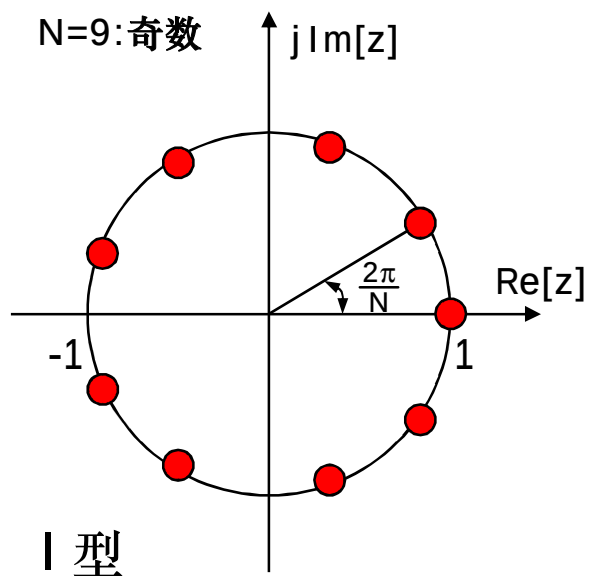
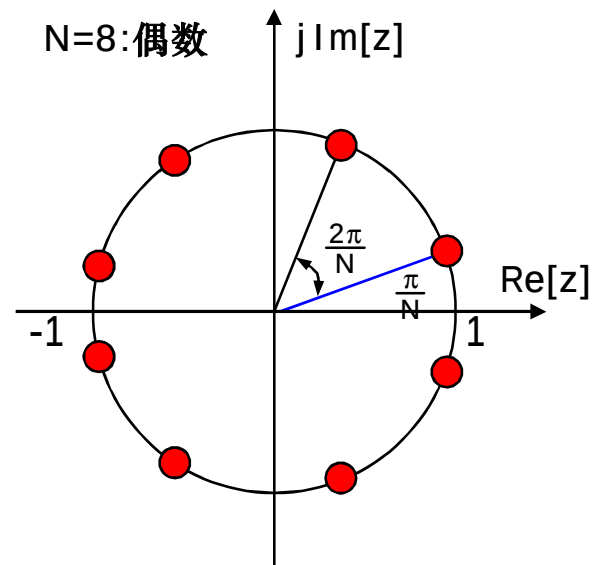
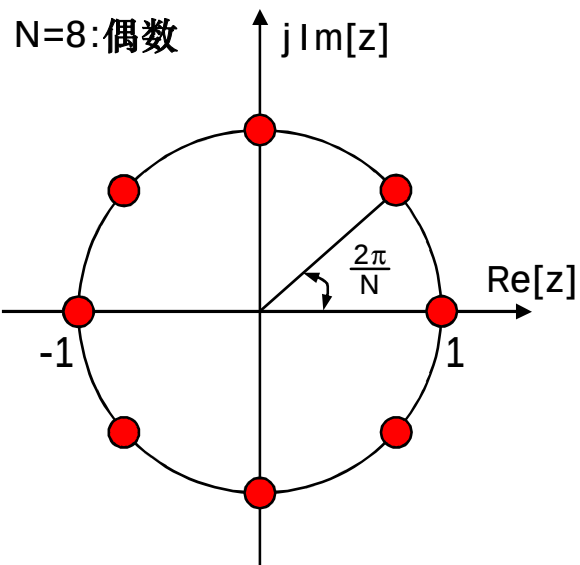
成对易对出现

线性相位 FIR 数字滤波器特点

线性相位FIR滤波器的四种情况

$h(n) = h(N - n - 1)$			
1)			奇数 N $H(\omega) = \sum_{n=0}^{(N-1)/2} a(n) \cos(\omega n)$  $a(0) = h[(N-1)/2]$ $a(n) = 2h[(N-1)/2 - n], n = 1 \sim (N-1)/2$
2)			偶数 N $H(\omega) = \sum_{n=0}^{N/2} b(n) \cos[\omega(n - 1/2)]$  $b(0) = 0$ $b(n) = 2h[N/2 - n], n = 1 \sim N/2$
$h(n) = -h(N - n - 1)$			
3)			奇数 N $H(\omega) = \sum_{n=0}^{(N-1)/2} c(n) \sin(\omega n)$  $c(0) = 0$ $c(n) = 2h[(N-1)/2 - n], n = 1 \sim (N-1)/2$
4)			偶数 N $H(\omega) = \sum_{n=0}^{N/2} d(n) \sin[\omega(n - 1/2)]$  $d(0) = 0$ $d(n) = 2h[N/2 - n], n = 1 \sim N/2$

频率抽样两种方法

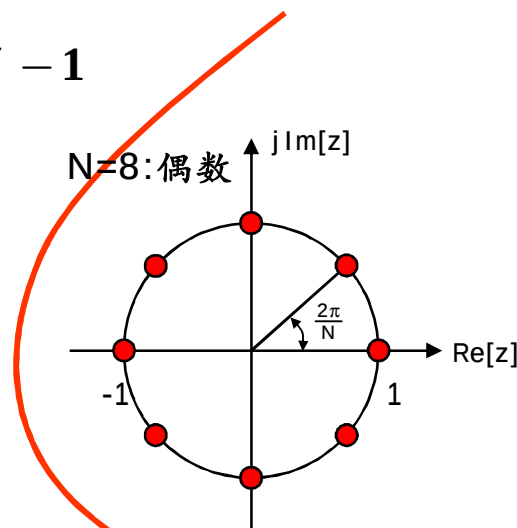


1) 第一种频率抽样

$$H(k) = H_d(k) = H_d(z) \Big|_{z=e^{j\frac{2\pi}{N}k}} = H_d(e^{j\omega}) \Big|_{\omega=\frac{2\pi}{N}k} \quad k = 0, 1, \dots, N-1$$

$$\text{系统函数: } H(z) = \frac{1-z^{-N}}{N} \sum_{k=0}^{N-1} \frac{H(k)}{1-W_N^{-k}z^{-1}}$$

$$\text{频率响应: } H(e^{j\omega}) = \frac{1}{N} e^{-j\left(\frac{N-1}{2}\right)\omega} \sum_{k=0}^{N-1} H(k) e^{-j\frac{\pi k}{N}} \frac{\sin\left(\frac{\omega N}{2}\right)}{\sin\left(\frac{\omega}{2} - \frac{\pi k}{N}\right)}$$

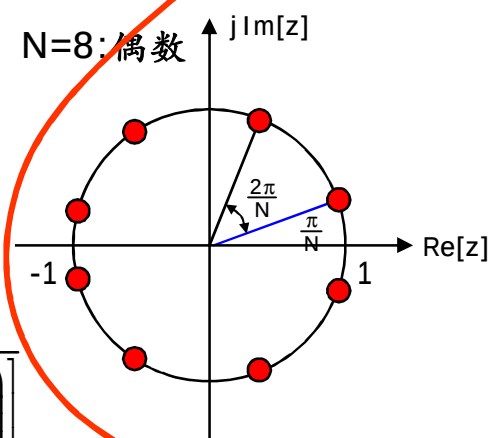


2) 第二种频率抽样

$$H(k) = H_d(z) \Big|_{z=e^{j\left(\frac{2\pi}{N}k + \frac{\pi}{N}\right)}} = H_d(e^{j\omega}) \Big|_{\omega=\frac{2\pi}{N}k + \frac{\pi}{N}} \quad k = 0, 1, \dots, N-1$$

$$\text{系统函数: } H(z) = \frac{1+z^{-N}}{N} \sum_{k=0}^{N-1} \frac{H(k)}{1-e^{j\frac{2\pi}{N}\left(k+\frac{1}{2}\right)}z^{-1}}$$

$$\text{频率响应: } H(e^{j\omega}) = \frac{\cos\left(\frac{\omega N}{2}\right)}{N} e^{-j\left(\frac{N-1}{2}\right)\omega} \sum_{k=0}^{N-1} \frac{H(k) e^{-j\frac{\pi}{N}\left(k+\frac{1}{2}\right)}}{j \sin\left[\frac{\omega}{2} - \frac{\pi}{N}\left(k+\frac{1}{2}\right)\right]}$$



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线性相位约束条件

第一种抽样方法				
	$h(n)$ 中心偶对称 N 为奇数	$h(n)$ 中心偶对称 N 为偶数	$h(n)$ 中心奇对称 N 为奇数	$h(n)$ 中心奇对称 N 为偶数
幅度约束	$ H(k) = H(N - k) $ $k = 0 \sim (N - 1)/2$	$ H(k) = H(N - k) $ $k = 0 \sim (N/2 - 1)$ $ H(N/2) = 0$	$ H(k) = H(N - k) $ $k = 0 \sim (N - 1)/2$ $ H(0) = 0$	$ H(k) = H(N - k) $ $k = 0 \sim (N/2 - 1)$ $ H(0) = 0$
相位约束	$\varphi(k) = -\varphi(N - k)$ $= -k(1 - N^{-1})\pi$ $k = 0 \sim (N - 1)/2$	$\varphi(k) = -\varphi(N - k)$ $= -k(1 - N^{-1})\pi$ $k = 0 \sim (N/2 - 1)$	$\varphi(k) = -\varphi(N - k)$ $= \frac{\pi}{2} - k(1 - N^{-1})\pi$ $k = 0 \sim (N - 1)/2$	$\varphi(k) = -\varphi(N - k)$ $= \frac{\pi}{2} - k(1 - N^{-1})\pi$ $k = 0 \sim (N/2 - 1)$ $\varphi(N/2) = 0$

对于第一种抽样方式，当 $h(n)$ 为实数时

$$h(n) = h^*(n)$$

$$H(k) = DFT[h(n)]$$

根据P91 (3-79)

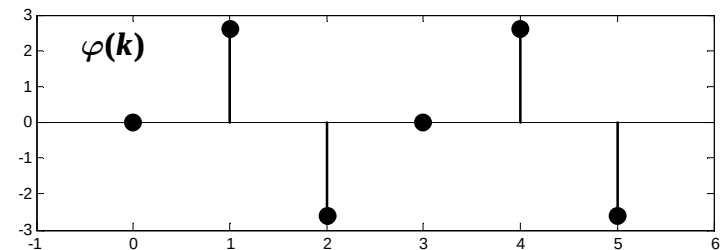
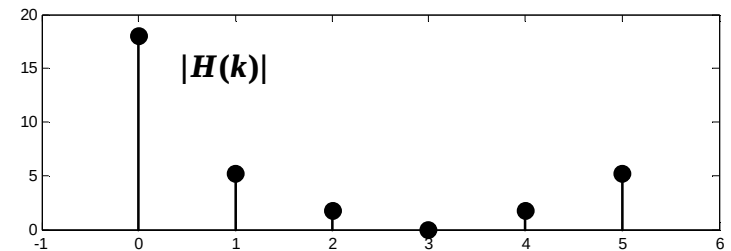
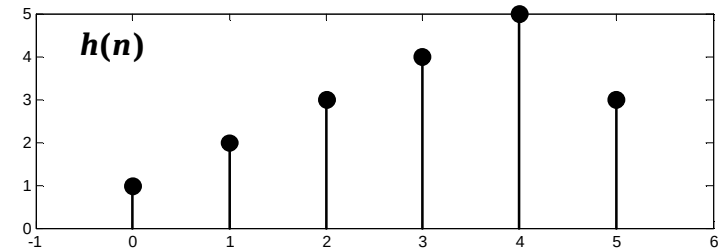
$$H^*(N - k) = DFT[h^*(n)]$$

于是

$$H(k) = H^*(N - k)$$

$$\left\{ \begin{array}{l} |H(k)| = |H(N - k)| \\ \theta(k) = \arg[H(k)] = -\theta(N - k) \end{array} \right.$$

以 $k = \frac{N}{2}$ 中心

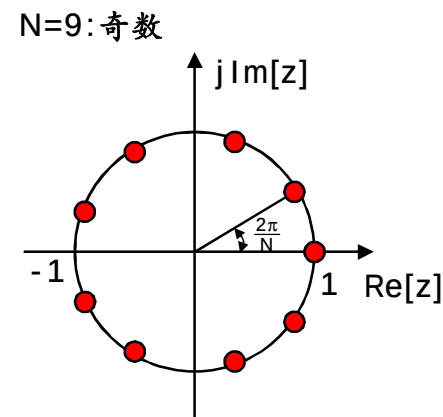


线性相位系统传函和频响

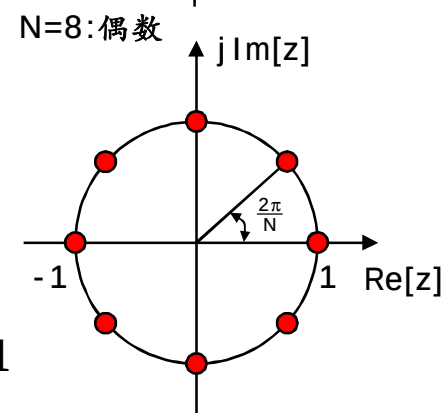
第一种频率抽样方法：

$$\text{由： } \theta(\omega) = -\left(\frac{N-1}{2}\right)\omega$$

$$N \text{ 为奇数： } \theta(k) = \begin{cases} -\frac{2\pi}{N}k\left(\frac{N-1}{2}\right) & k = 0, \dots, \frac{N-1}{2} \\ \frac{2\pi}{N}(N-k)\left(\frac{N-1}{2}\right) & k = \frac{N+1}{2}, \dots, N-1 \end{cases}$$



$$N \text{ 为偶数： } \theta(k) = \begin{cases} -\frac{2\pi}{N}k\left(\frac{N-1}{2}\right) & k = 0, \dots, \left(\frac{N}{2}-1\right) \\ 0 & k = \frac{N}{2} \\ \frac{2\pi}{N}(N-k)\left(\frac{N-1}{2}\right) & k = \left(\frac{N}{2}+1\right), \dots, N-1 \end{cases}$$



当 N 为奇数时:

$$H(k) = \begin{cases} |H(k)| e^{-j\frac{2\pi}{N}k\left(\frac{N-1}{2}\right)} & k = 0, \dots, \frac{N-1}{2} \\ |H(k)| e^{j\frac{2\pi}{N}(N-k)\left(\frac{N-1}{2}\right)} & k = \frac{N+1}{2}, \dots, N-1 \end{cases}$$

当 N 为偶数时:

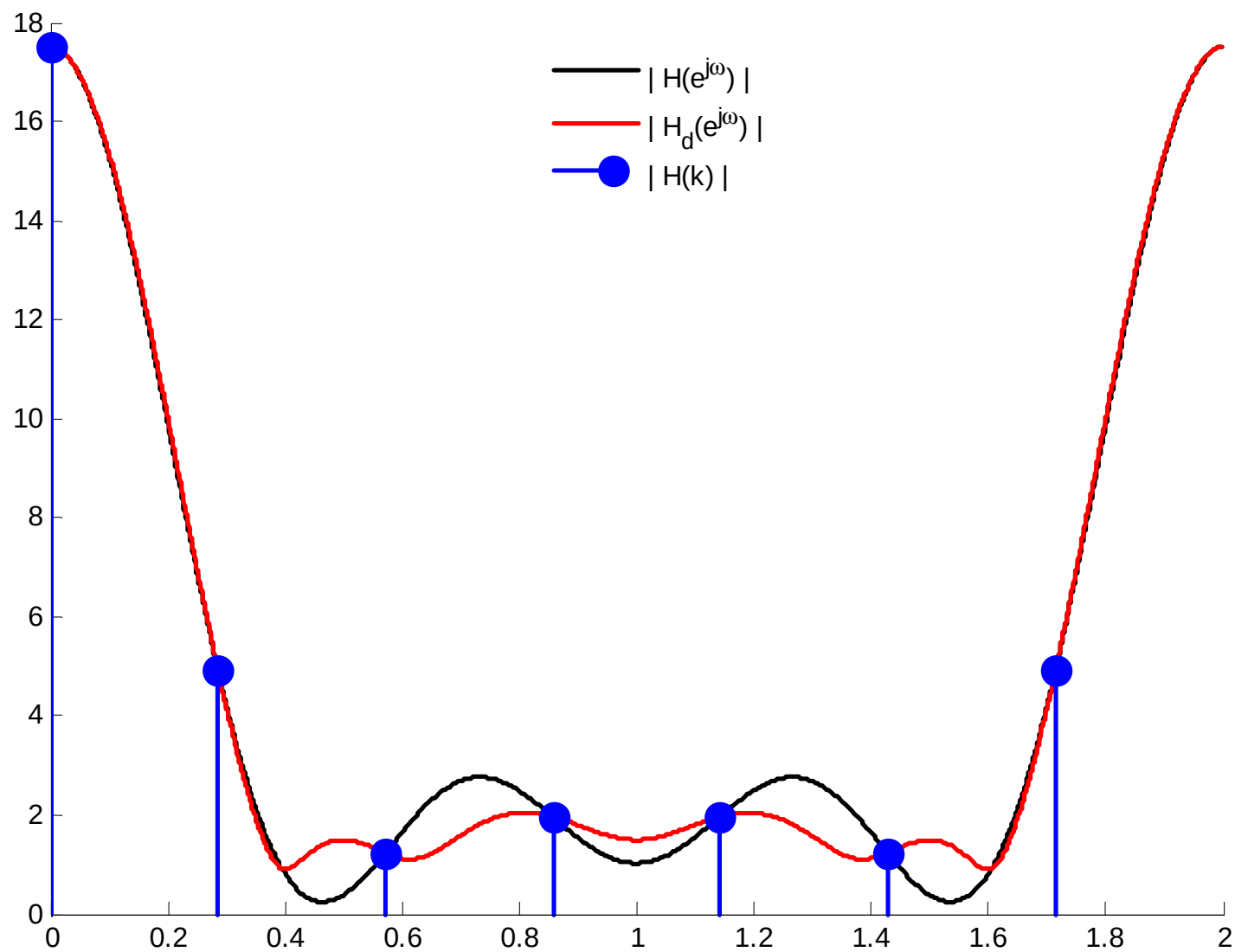
$$H(k) = \begin{cases} |H(k)| e^{-j\frac{2\pi}{N}k\left(\frac{N-1}{2}\right)} & k = 0, \dots, \left(\frac{N}{2} - 1\right) \\ 0 & k = \frac{N}{2} \\ |H(k)| e^{j\frac{2\pi}{N}(N-k)\left(\frac{N-1}{2}\right)} & k = \left(\frac{N}{2} + 1\right), \dots, N-1 \end{cases}$$

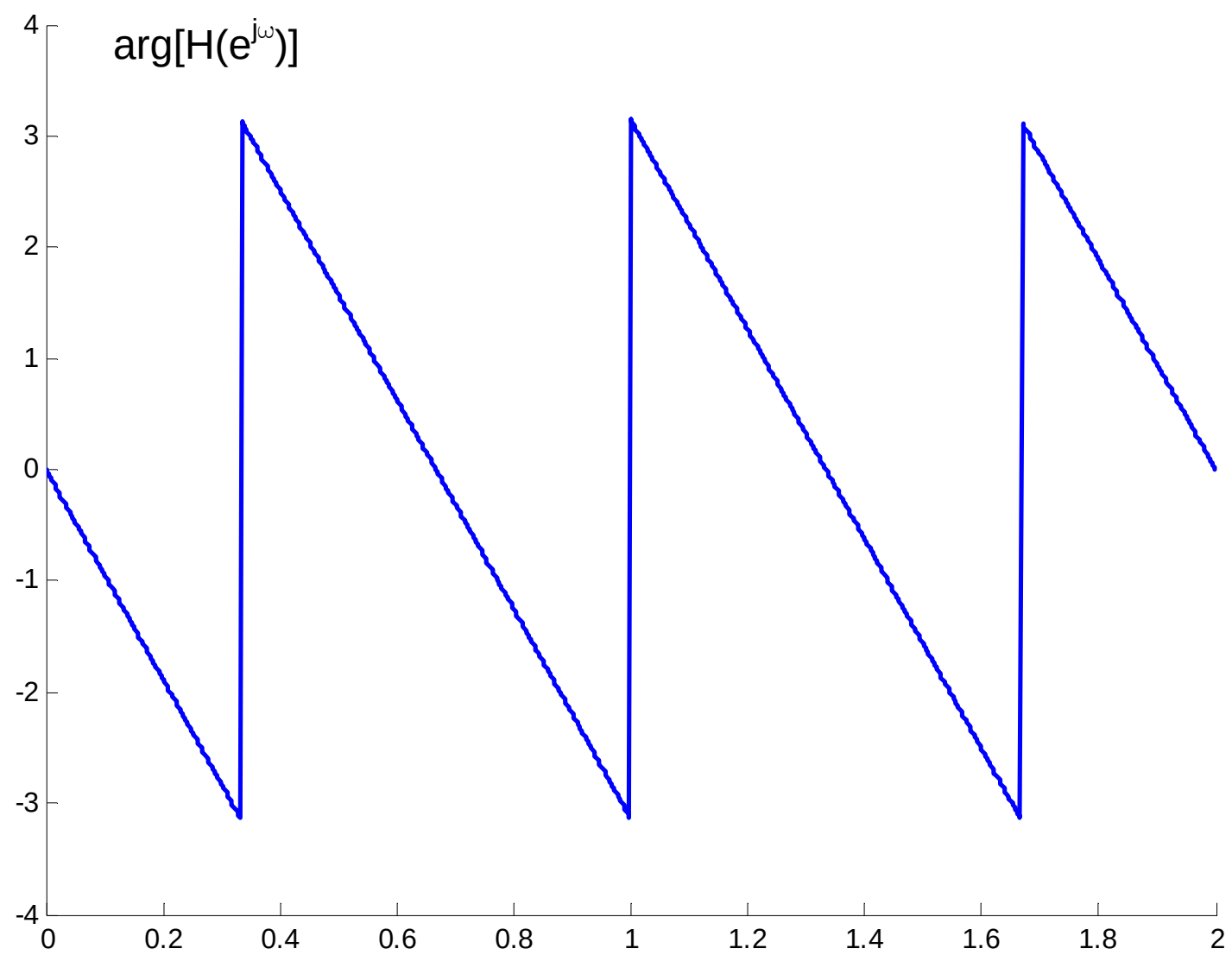
频率响应:

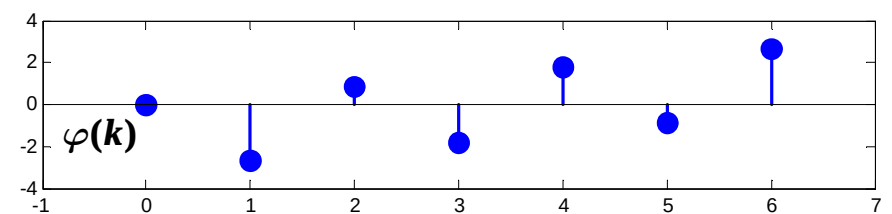
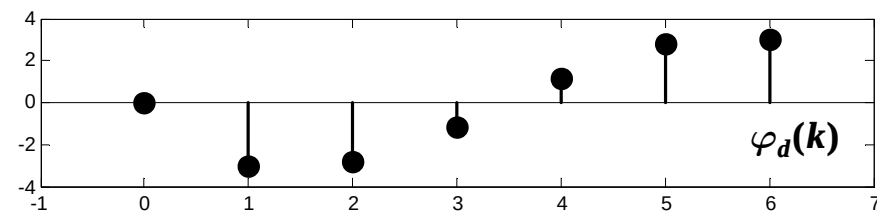
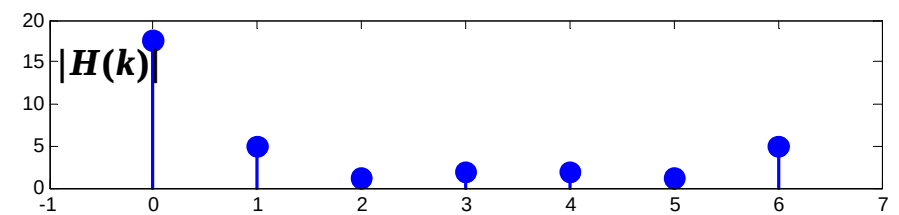
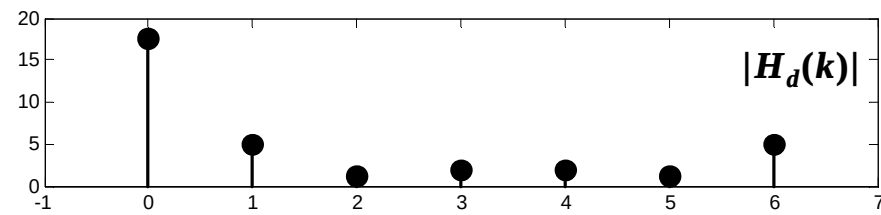
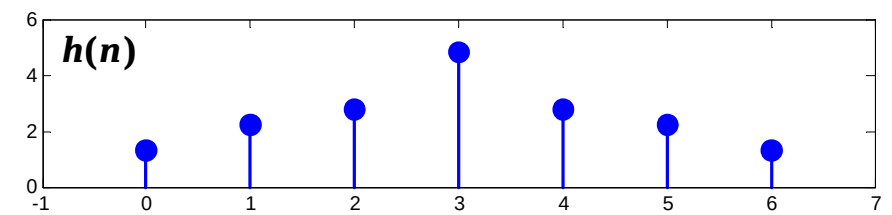
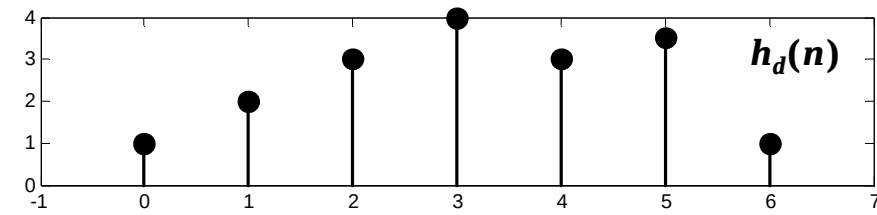
$$H(e^{j\omega}) = e^{-j\left(\frac{N-1}{2}\right)\omega} \left\{ \frac{|H(0)| \sin\left(\frac{\omega N}{2}\right)}{N \sin\left(\frac{\omega}{2}\right)} + \sum_{k=1}^M \frac{|H(k)|}{N} \left[\frac{\sin\left[N\left(\frac{\omega}{2} - \frac{k\pi}{N}\right)\right]}{\sin\left(\frac{\omega}{2} - \frac{k\pi}{N}\right)} + \frac{\sin\left[N\left(\frac{\omega}{2} + \frac{k\pi}{N}\right)\right]}{\sin\left(\frac{\omega}{2} + \frac{k\pi}{N}\right)} \right] \right\}$$

N 为奇数 $M = (N-1)/2$, N 为偶数 $M = N/2 - 1$

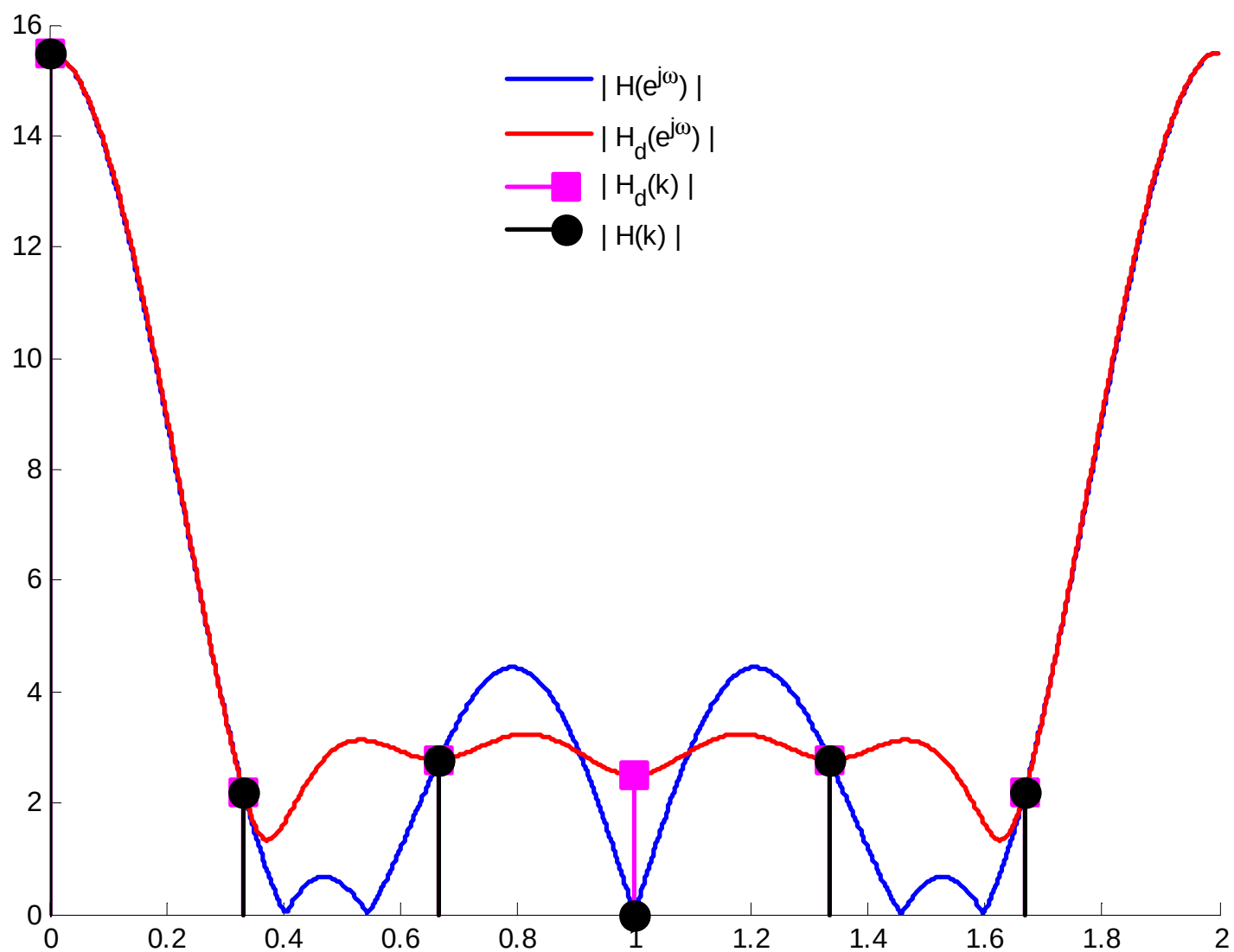
第一种抽样
偶对称 $N = 7$
奇数点



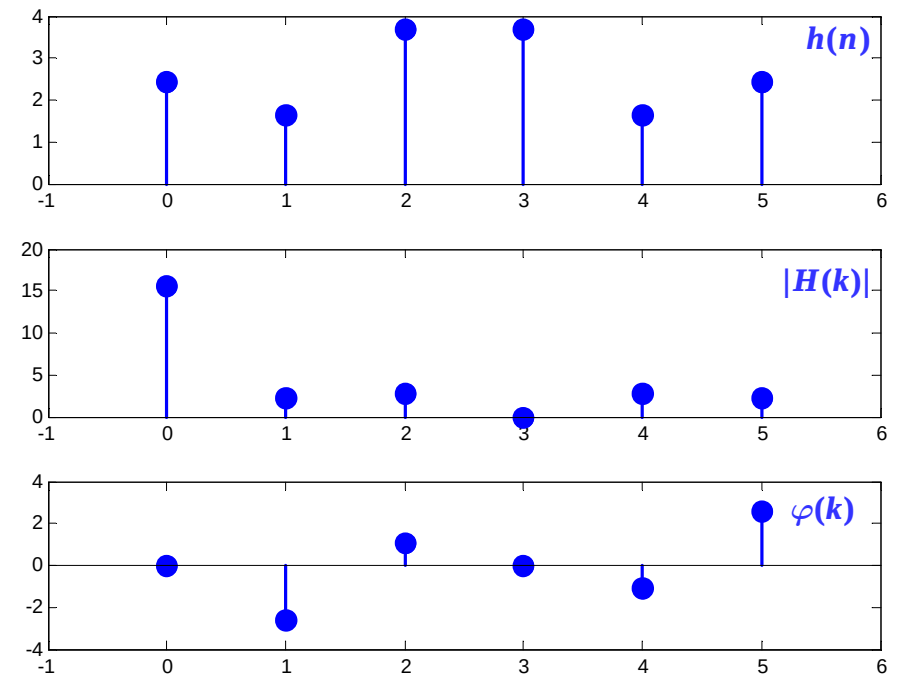
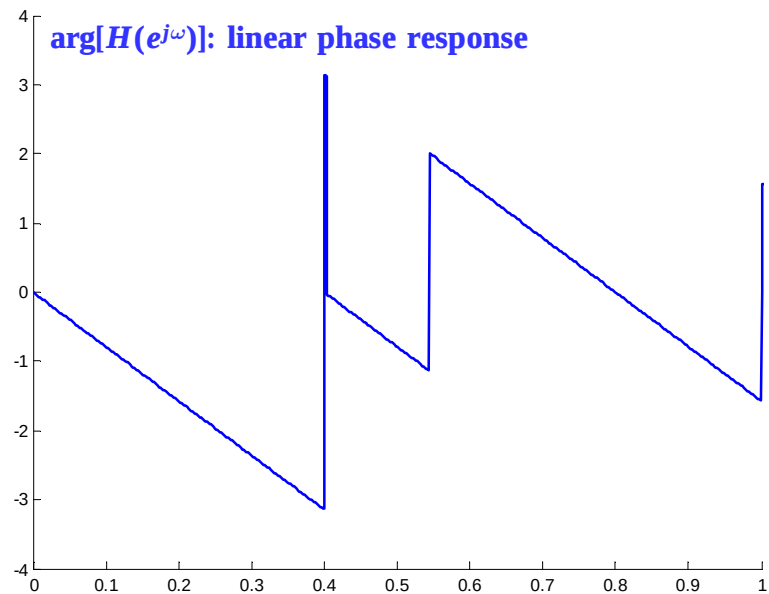
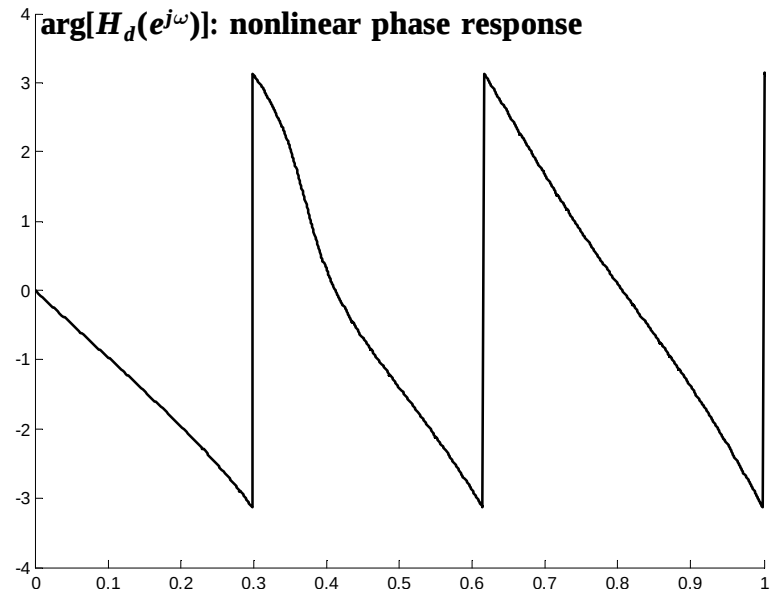
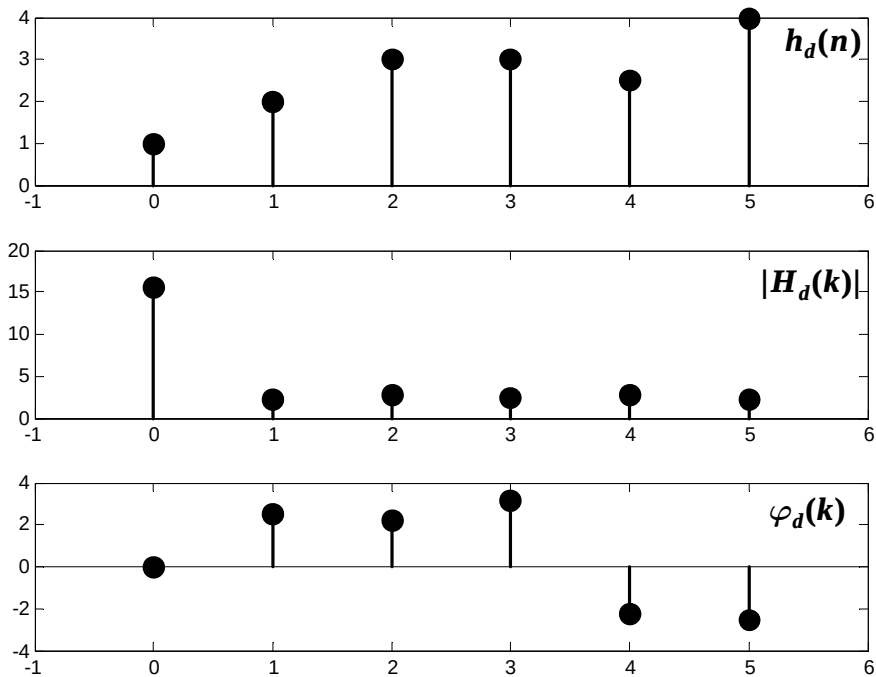




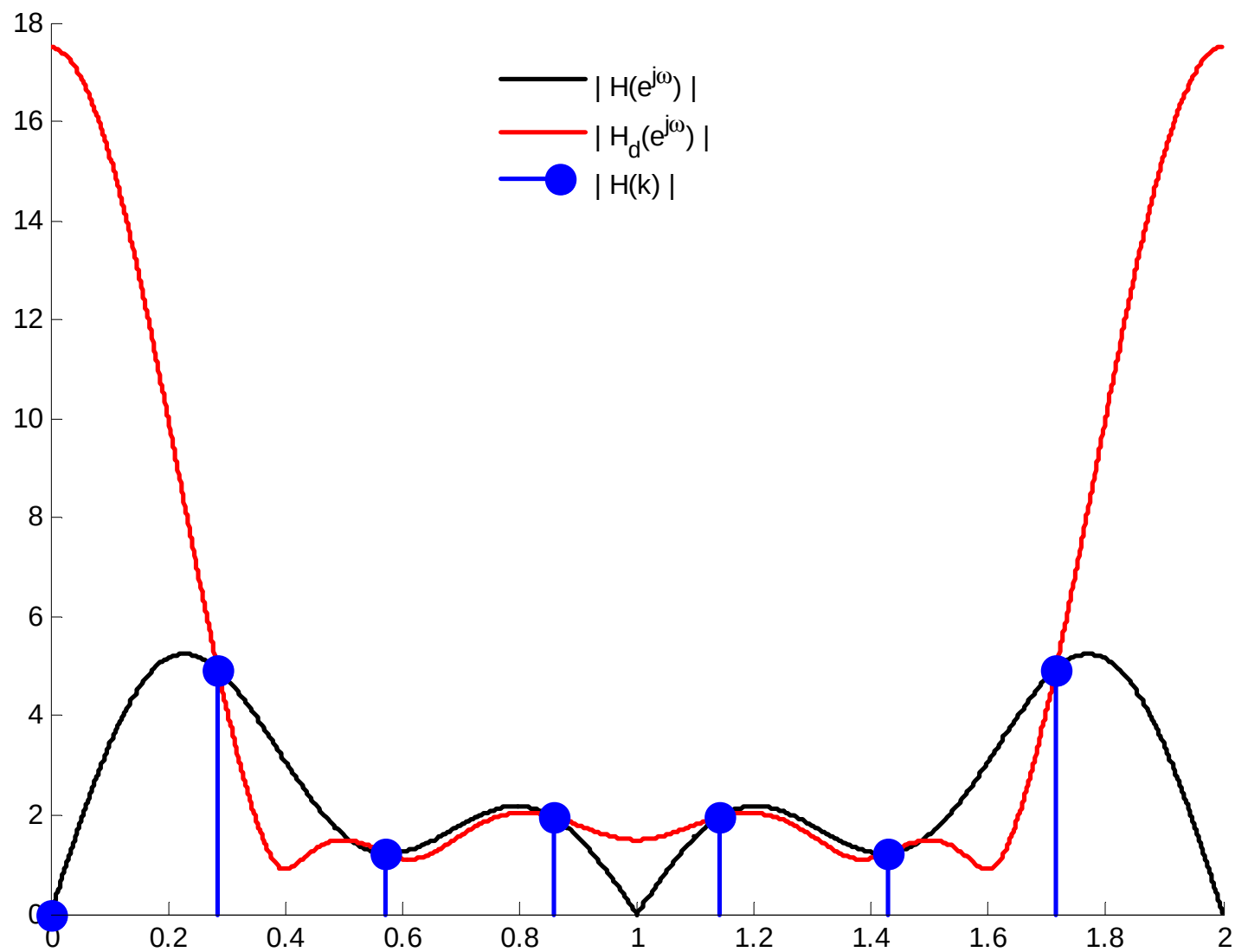
第一种抽样
偶对称 $N = 6$
偶数点

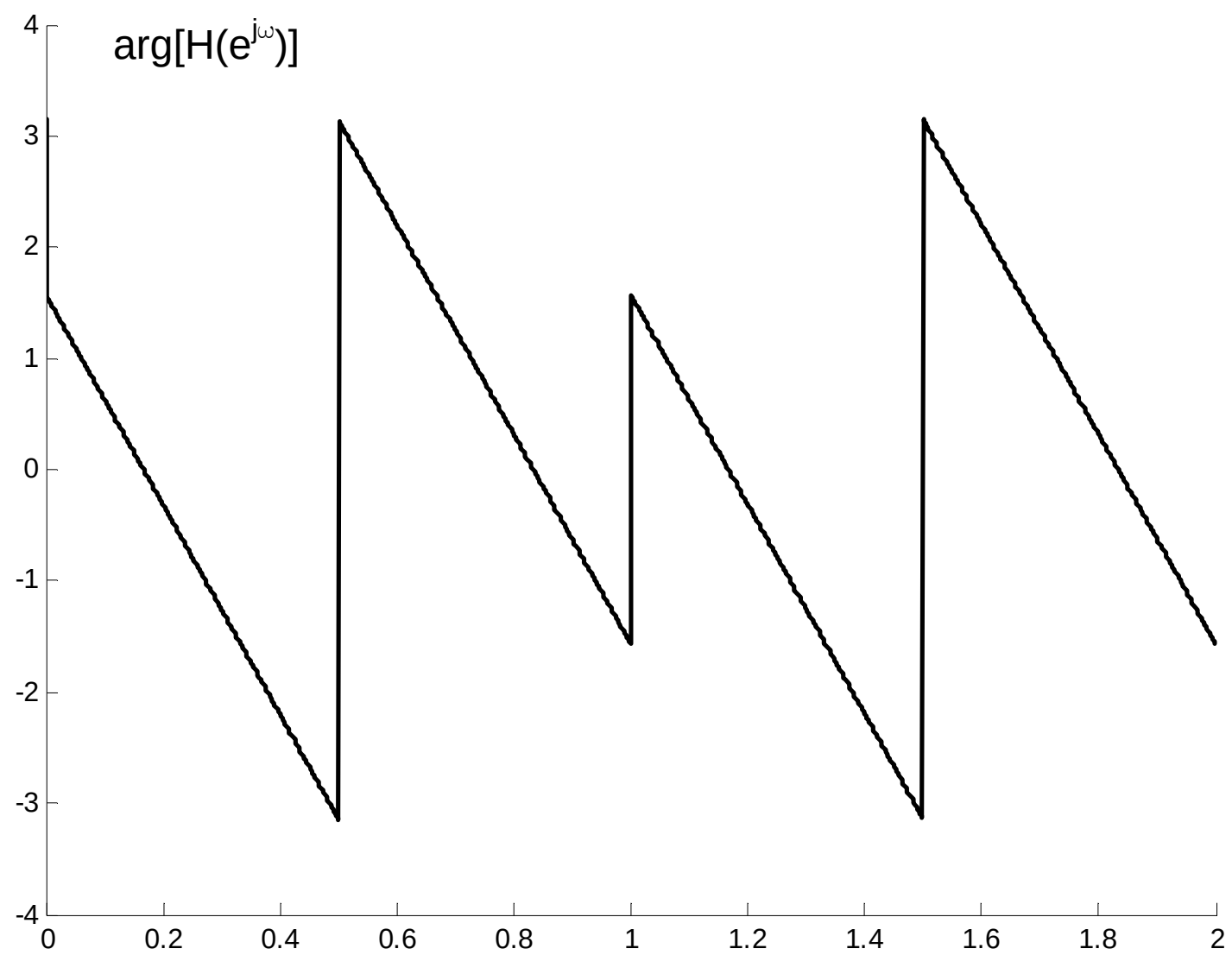


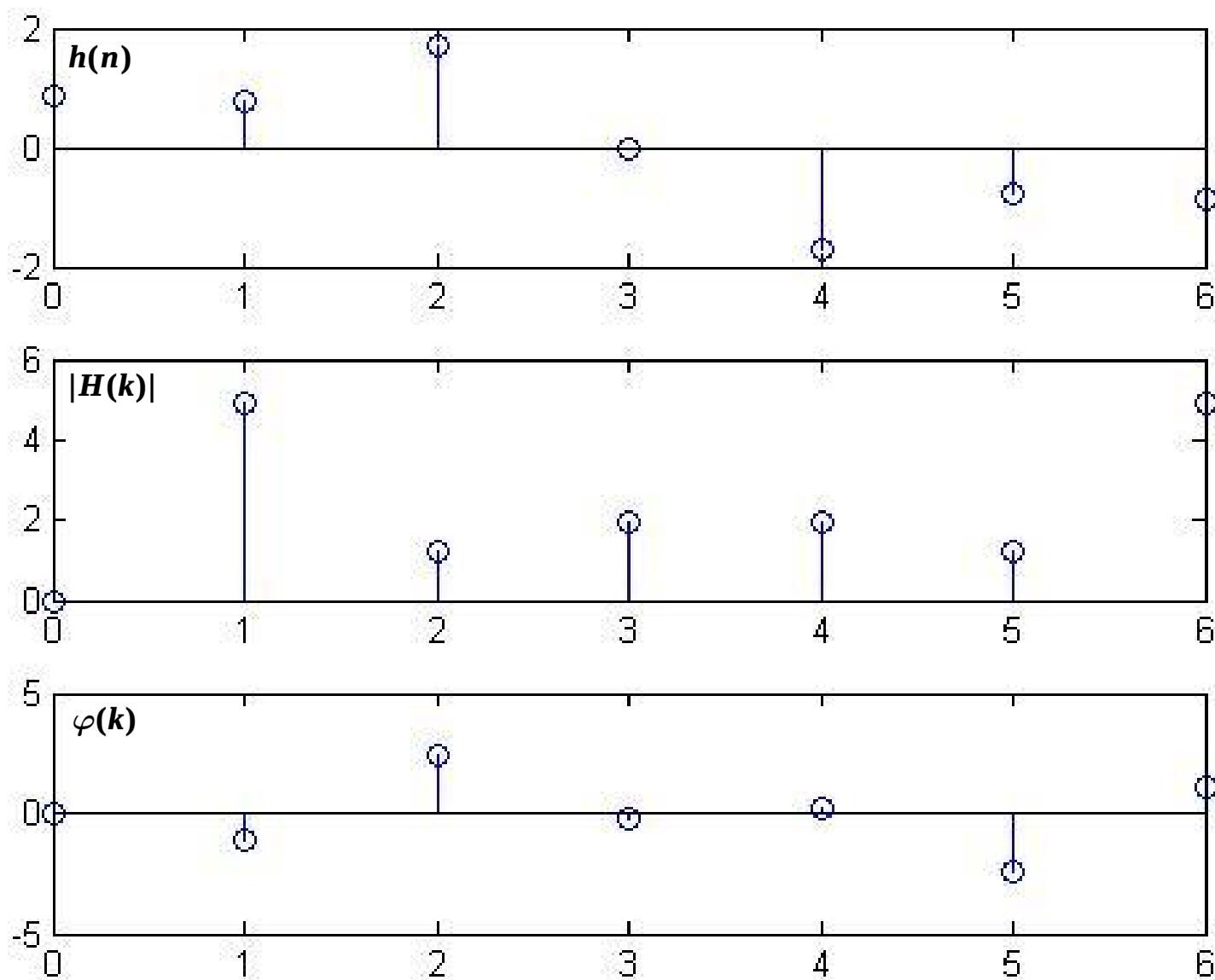
强行置零



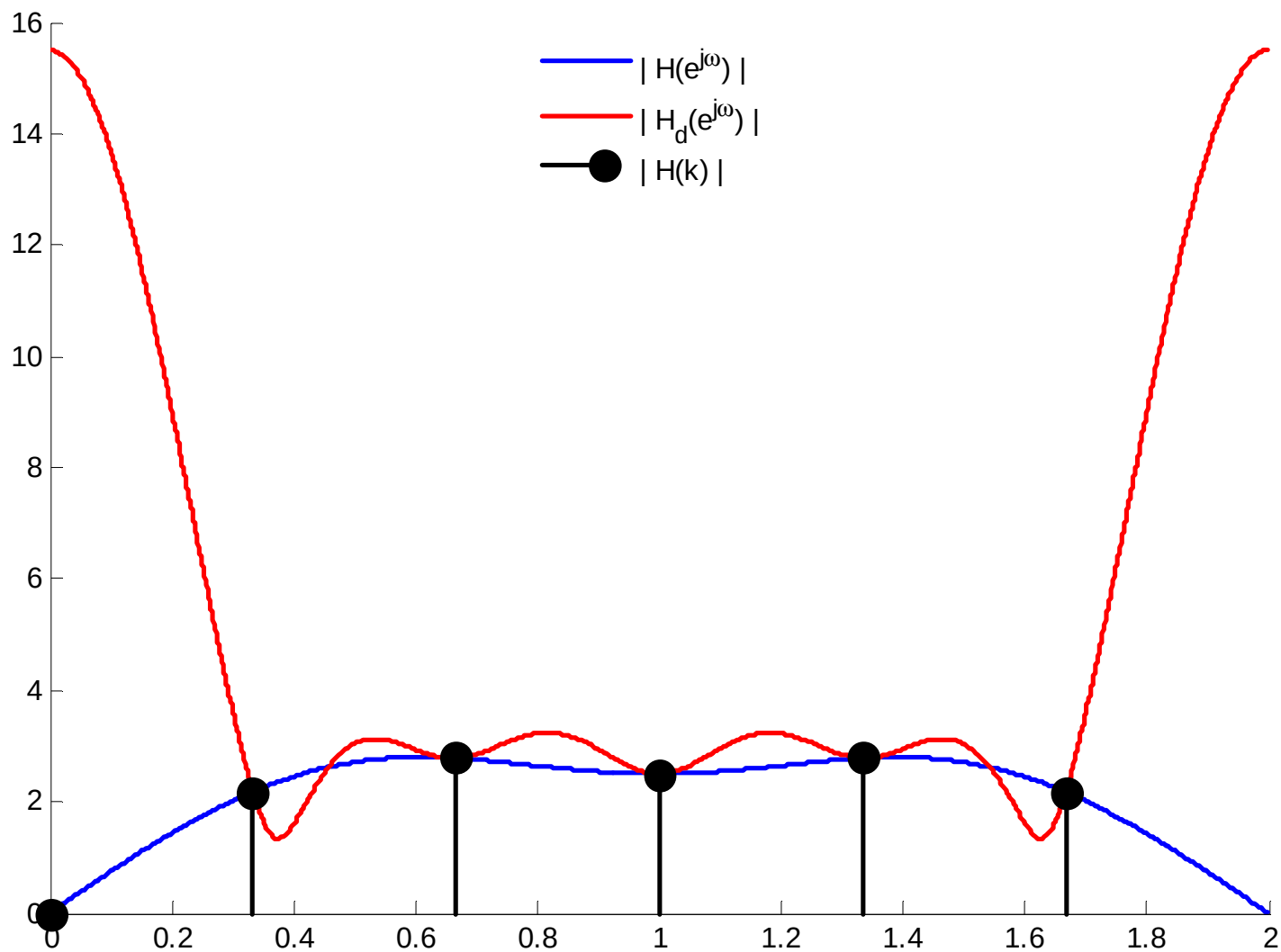
第一种抽样
奇对称 $N = 7$
奇数点

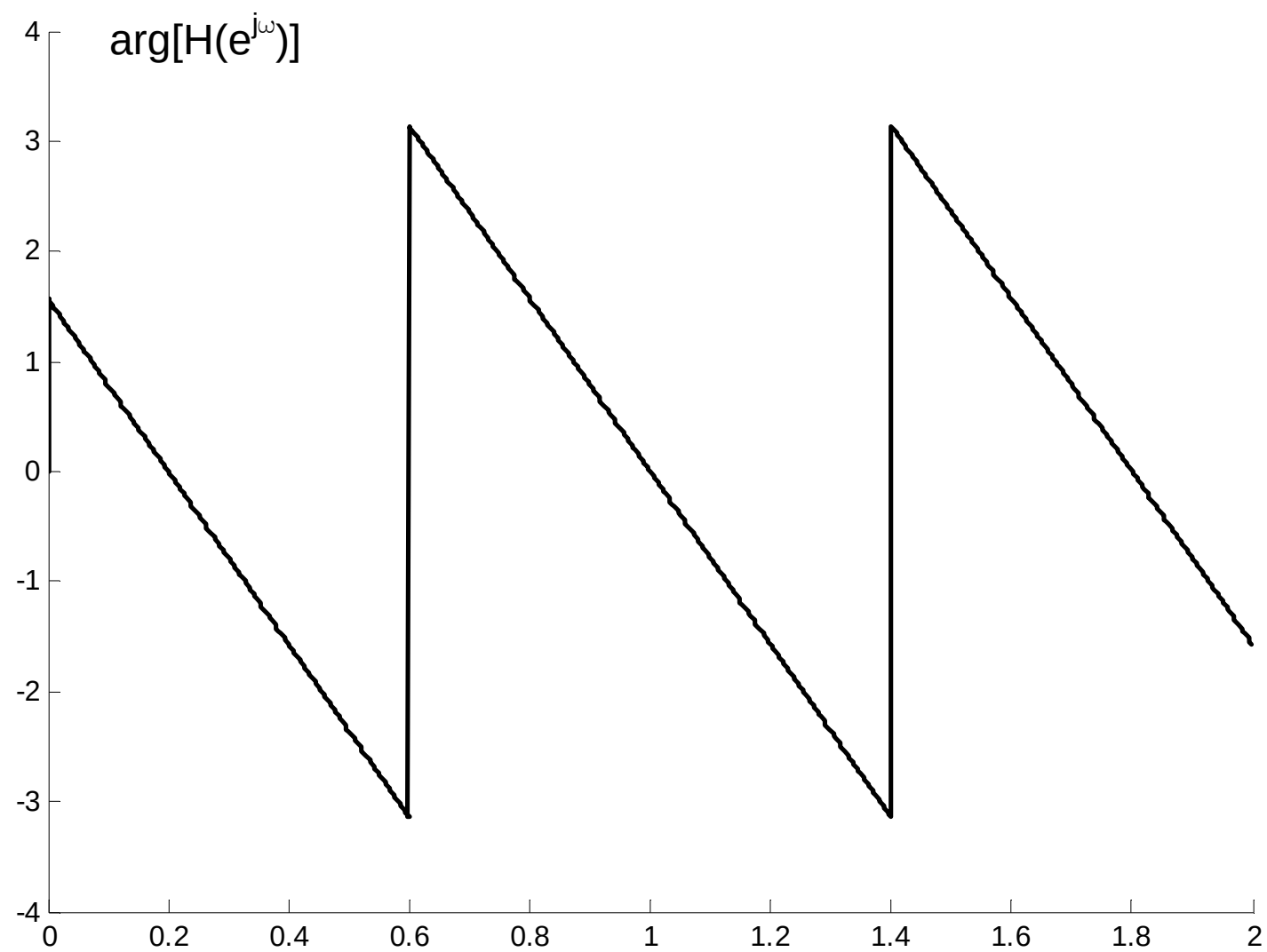


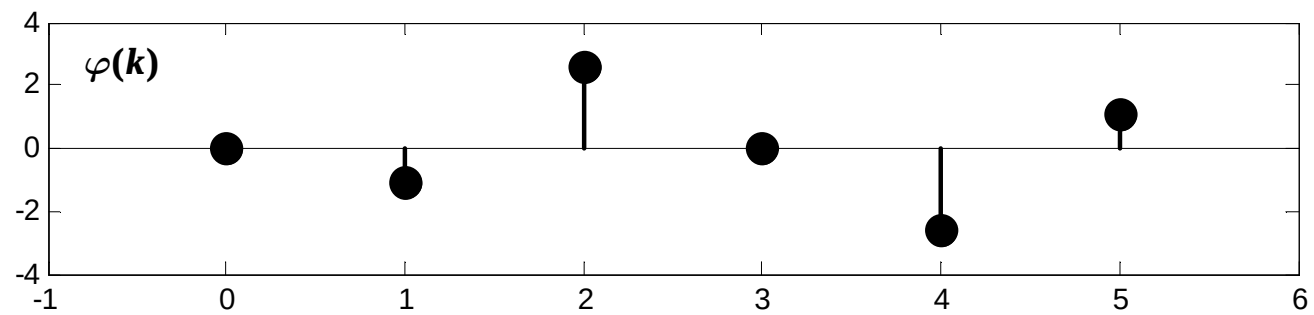
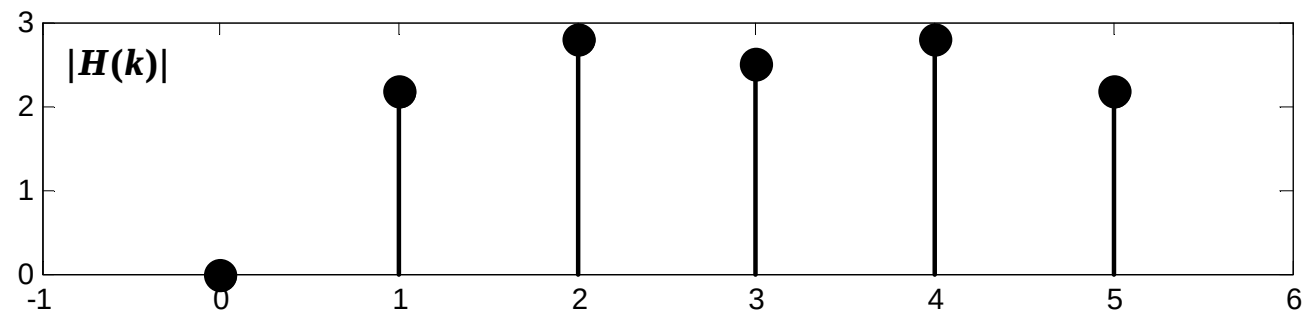
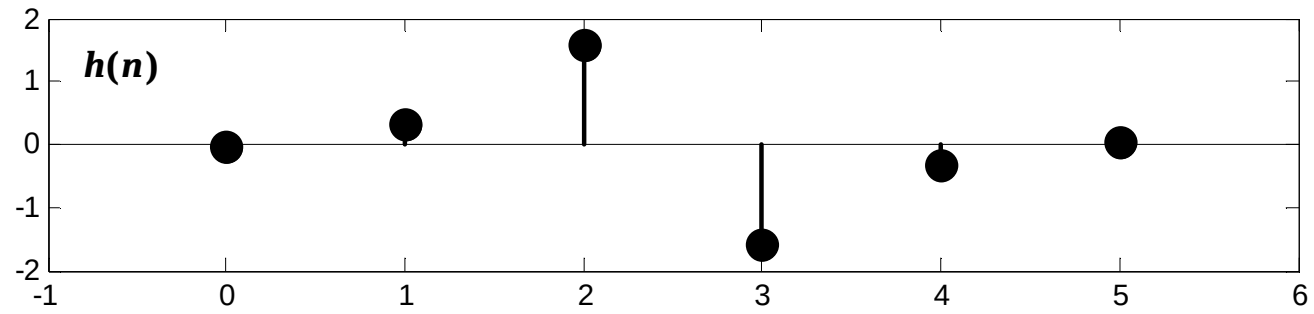




第一种抽样
奇对称 $N = 6$
偶数点







线性相位约束条件

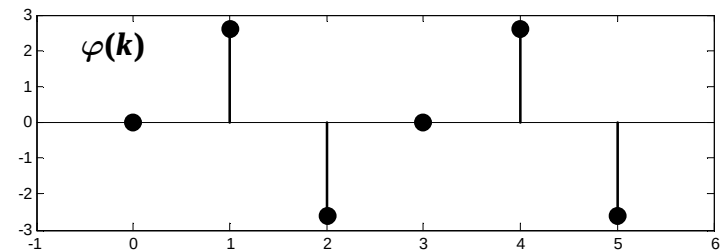
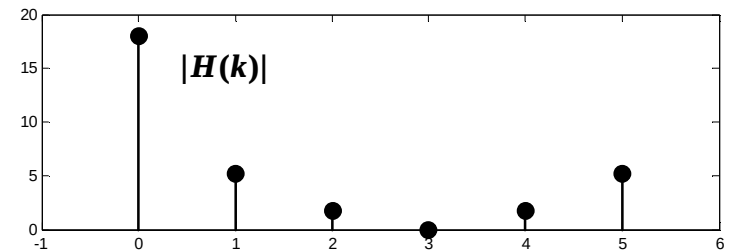
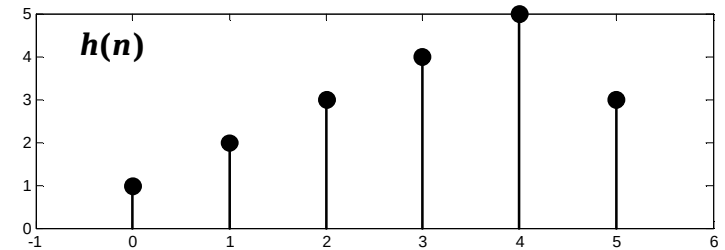
第二种抽样方法				
	$h(n)$ 中心偶对称 N 为奇数	$h(n)$ 中心偶对称 N 为偶数	$h(n)$ 中心奇对称 N 为奇数	$h(n)$ 中心奇对称 N 为偶数
幅度约束	$ H(k) = H(N-k-1) $ $k = 0 \sim (N-1)/2$	$ H(k) = H(N-k-1) $ $k = 0 \sim (N/2-1)$	$ H(k) = H(N-k-1) $ $k = 0 \sim (N-1)/2$ $ H(\frac{N-1}{2}) = 0$	$ H(k) = H(N-k-1) $ $k = 0 \sim (N/2-1)$
相位约束	$\varphi(k) = -\varphi(N-k-1)$ $= -(k + \frac{1}{2})(1 - \frac{1}{N})\pi$ $k = 0 \sim (N-3)/2$ $\varphi(\frac{N-1}{2}) = 0$	$\varphi(k) = -\varphi(N-k-1)$ $= -(k + \frac{1}{2})(1 - \frac{1}{N})\pi$ $k = 0 \sim (N/2-1)$	$\varphi(k) = -\varphi(N-k-1)$ $= \frac{\pi}{2} - (k + \frac{1}{2})(1 - \frac{1}{N})\pi$ $k = 0 \sim (N-3)/2$	$\varphi(k) = -\varphi(N-k-1)$ $= \frac{\pi}{2} - (k + \frac{1}{2})(1 - \frac{1}{N})\pi$ $k = 0 \sim (N/2-1)$

对于第二种抽样方式，当 $h(n)$ 为实数时

$$H(k) = H^*(N - 1 - k)$$

$$\left\{ \begin{array}{l} |H(k)| = |H(N - 1 - k)| \\ \theta(k) = \arg[H(k)] = -\theta(N - 1 - k) \end{array} \right.$$

以 $k = \frac{N - 1}{2}$ 中心

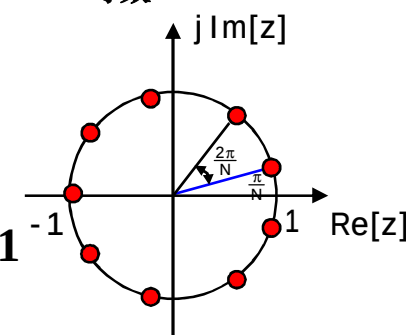


线性相位系统传函和频响

第二种频率抽样方法：

$$N \text{ 为奇数: } \theta(k) = \begin{cases} -\frac{2\pi}{N} \left(k + \frac{1}{2} \right) \left(\frac{N-1}{2} \right) & k = 0, \dots, \frac{N-3}{2} \\ 0 & k = \frac{N-1}{2} \\ \frac{2\pi}{N} \left(N - k - \frac{1}{2} \right) \left(\frac{N-1}{2} \right) & k = \frac{N+1}{2}, \dots, N-1 \end{cases}$$

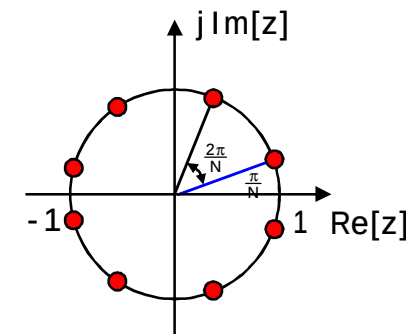
N=9: 奇数



A unit circle plot in the complex plane with axes Re[z] and jIm[z]. Nine red dots representing frequency samples are placed on the circle. The dots are at angles of $2\pi k/9$ for $k=0, \dots, 8$. A blue line segment connects the origin to the dot at $k=1$, and another blue line segment connects the origin to the dot at $k=8$. The angle between these two segments is labeled $2\pi/N$. The horizontal axis is labeled 1 and -1, and the vertical axis is labeled jIm[z].

$$N \text{ 为偶数: } \theta(k) = \begin{cases} -\frac{2\pi}{N} \left(k + \frac{1}{2} \right) \left(\frac{N-1}{2} \right) & k = 0, \dots, \left(\frac{N}{2} - 1 \right) \\ \frac{2\pi}{N} \left(N - k - \frac{1}{2} \right) \left(\frac{N-1}{2} \right) & k = \frac{N}{2}, \dots, N-1 \end{cases}$$

N=8: 偶数



A unit circle plot in the complex plane with axes Re[z] and jIm[z]. Eight red dots representing frequency samples are placed on the circle. The dots are at angles of $2\pi k/8$ for $k=0, \dots, 7$. A blue line segment connects the origin to the dot at $k=1$, and another blue line segment connects the origin to the dot at $k=7$. The angle between these two segments is labeled $2\pi/N$. The horizontal axis is labeled 1 and -1, and the vertical axis is labeled jIm[z].

当 N 为奇数时:

$$H(k) = \begin{cases} |H(k)| e^{-j \frac{2\pi}{N} \left(k + \frac{1}{2}\right) \left(\frac{N-1}{2}\right)} & k = 0, \dots, \frac{N-3}{2} \\ \left| H\left(\frac{N-1}{2}\right) \right| & k = \frac{N-1}{2} \\ |H(k)| e^{j \frac{2\pi}{N} \left(N - k - \frac{1}{2}\right) \left(\frac{N-1}{2}\right)} & k = \frac{N+1}{2}, \dots, N-1 \end{cases}$$

当 N 为偶数时:

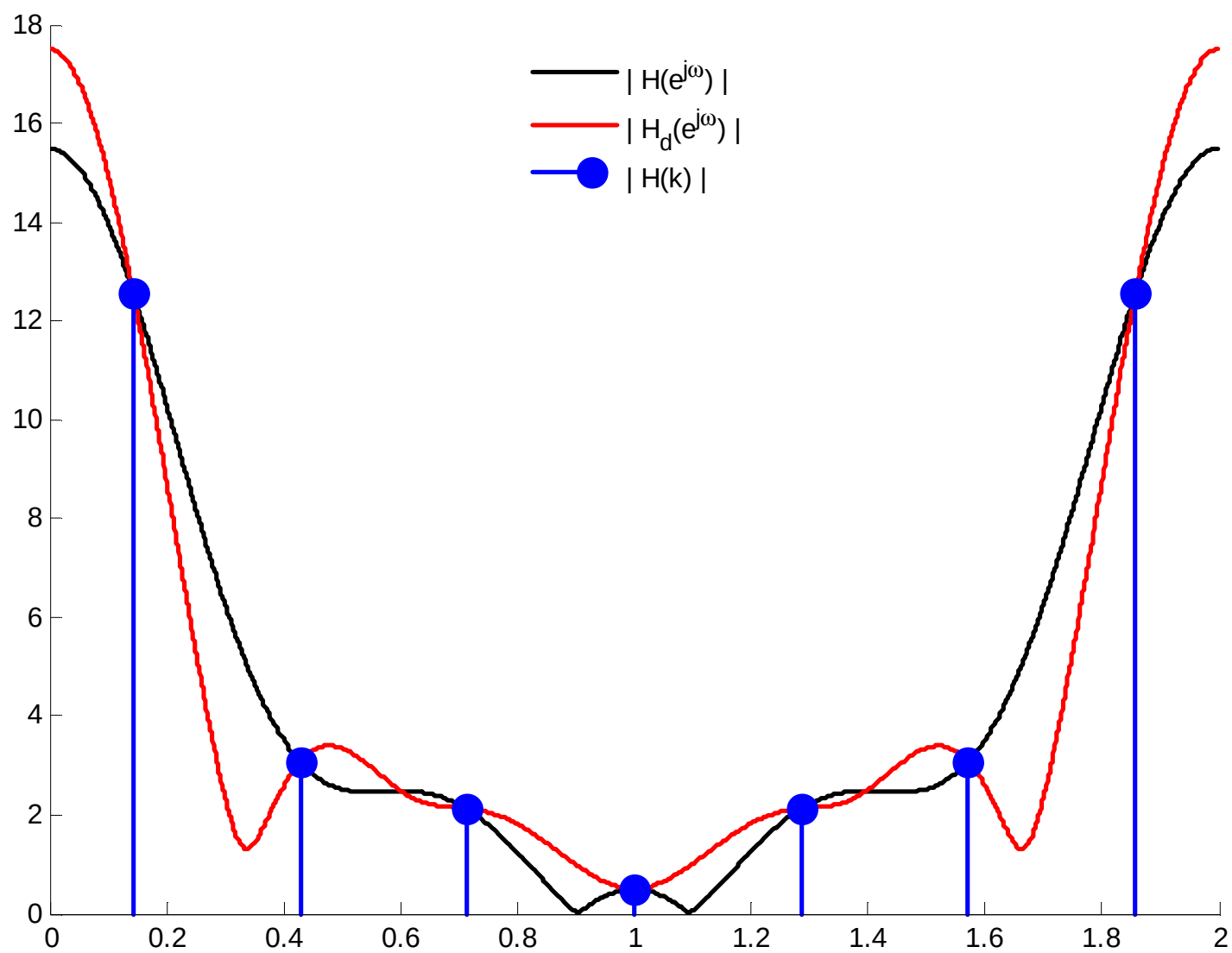
$$H(k) = \begin{cases} |H(k)| e^{-j \frac{2\pi}{N} \left(k + \frac{1}{2}\right) \left(\frac{N-1}{2}\right)} & k = 0, \dots, \left(\frac{N}{2} - 1\right) \\ |H(k)| e^{j \frac{2\pi}{N} \left(N - k - \frac{1}{2}\right) \left(\frac{N-1}{2}\right)} & k = \frac{N}{2}, \dots, N-1 \end{cases}$$

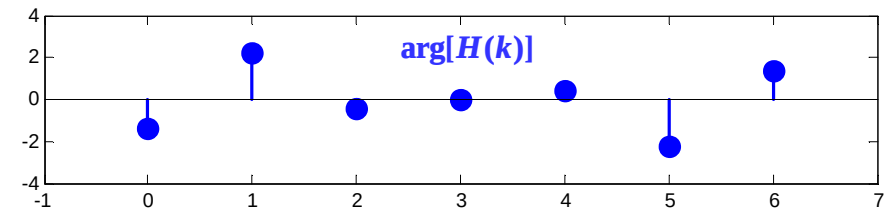
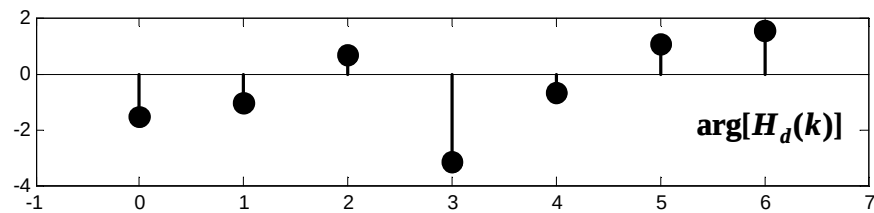
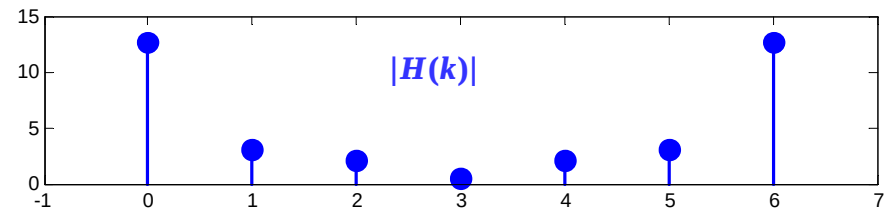
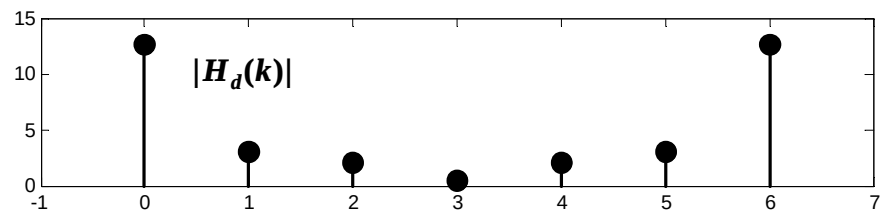
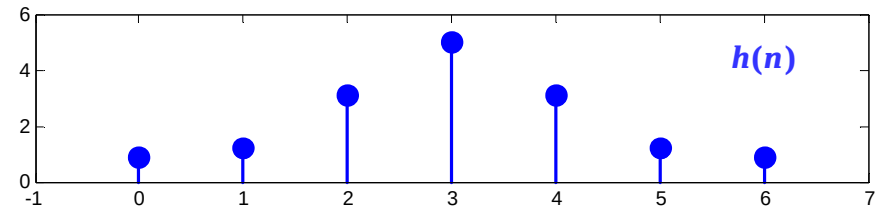
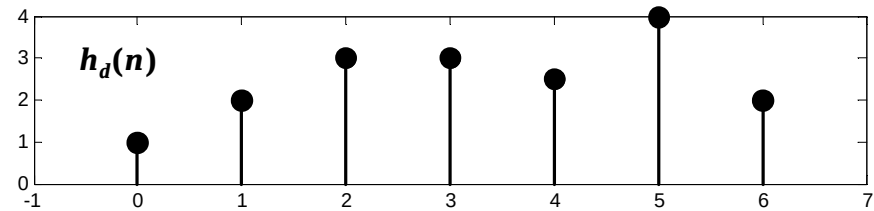
频率响应:

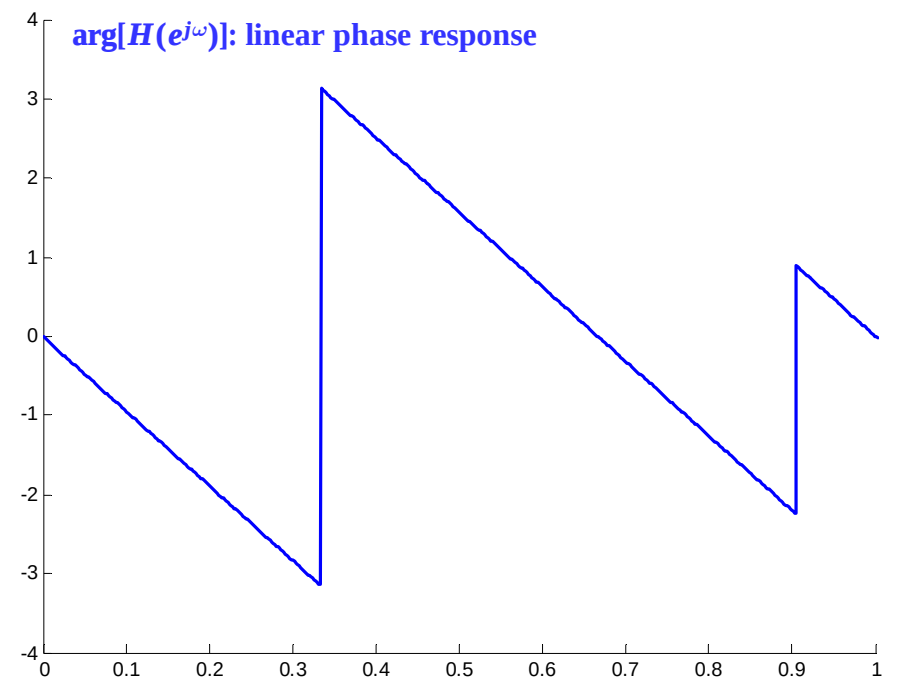
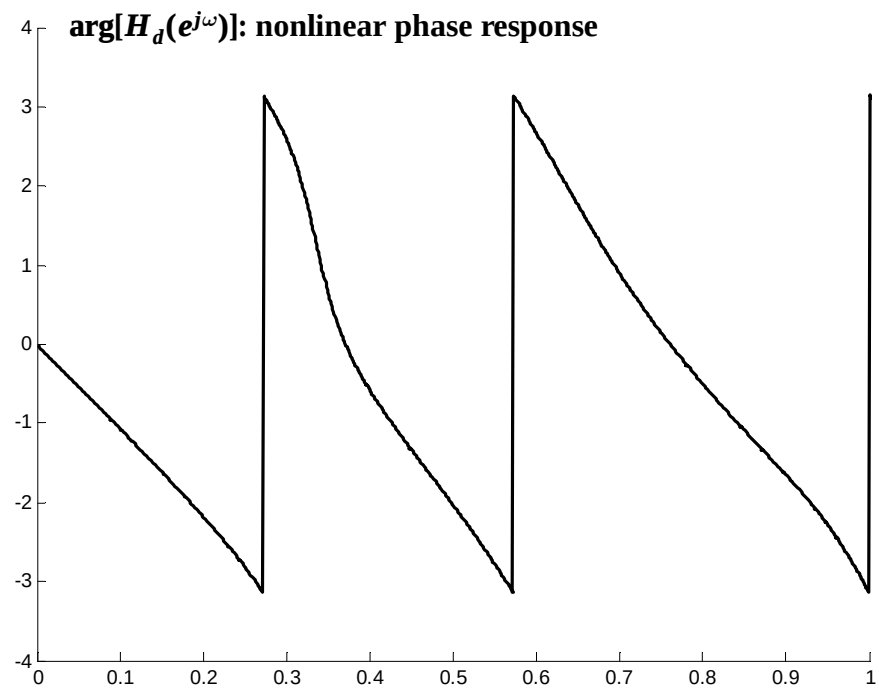
$$H(e^{j\omega}) = e^{-j \left(\frac{N-1}{2}\right) \omega} \left\{ H_{\frac{N-1}{2}}(\omega) + \sum_{k=0}^M \frac{|H(k)|}{N} \left[\frac{\sin \left\{ N \left[\frac{\omega}{2} - \frac{\pi}{N} \left(k + \frac{1}{2}\right) \right] \right\}}{\sin \left[\frac{\omega}{2} - \frac{\pi}{N} \left(k + \frac{1}{2}\right) \right]} + \frac{\sin \left\{ N \left[\frac{\omega}{2} + \frac{\pi}{N} \left(k + \frac{1}{2}\right) \right] \right\}}{\sin \left[\frac{\omega}{2} + \frac{\pi}{N} \left(k + \frac{1}{2}\right) \right]} \right] \right\}$$

$$\begin{cases} H_{\frac{N-1}{2}}(\omega) = \frac{|H(\frac{N-1}{2})|}{N} \cdot \frac{\cos(\frac{\omega N}{2})}{\cos(\frac{\omega}{2})}, M = \frac{N-3}{2} & n : odd \\ H_{\frac{N-1}{2}}(\omega) = 0, M = \frac{N}{2} - 1 & n : even \end{cases}$$

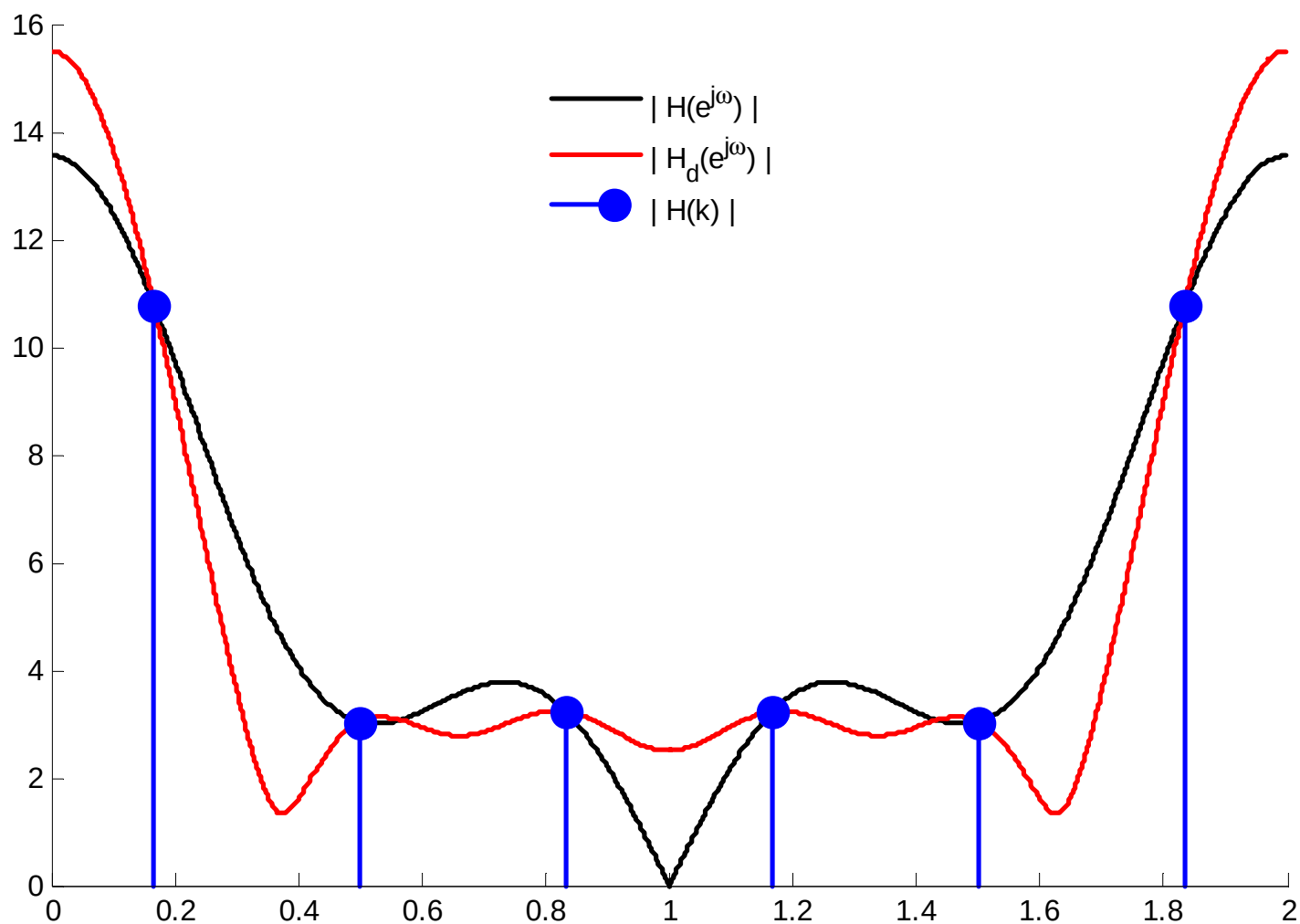
第二种抽样
偶对称 $N = 7$
奇数点

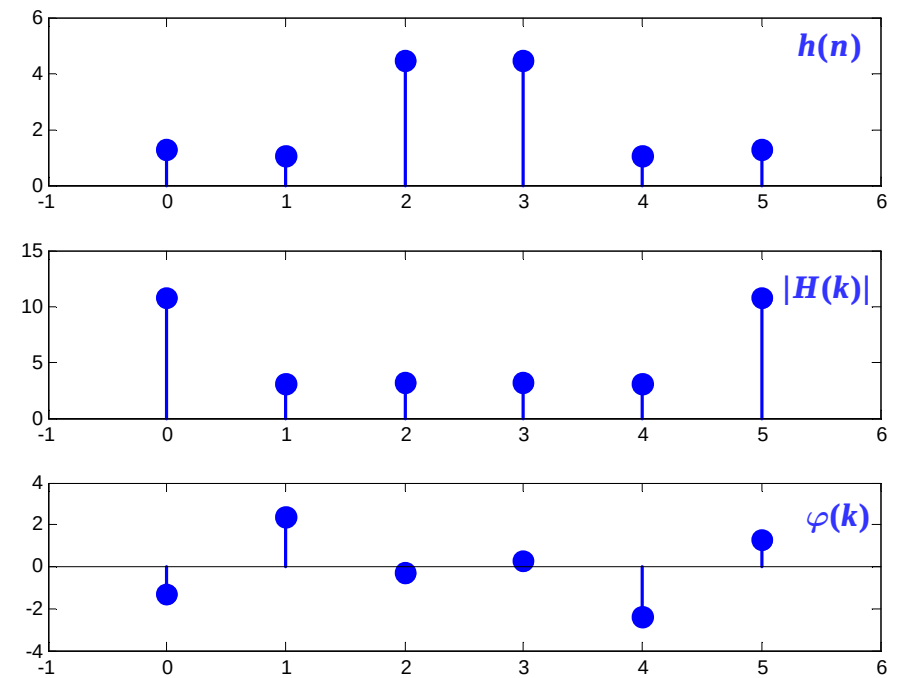
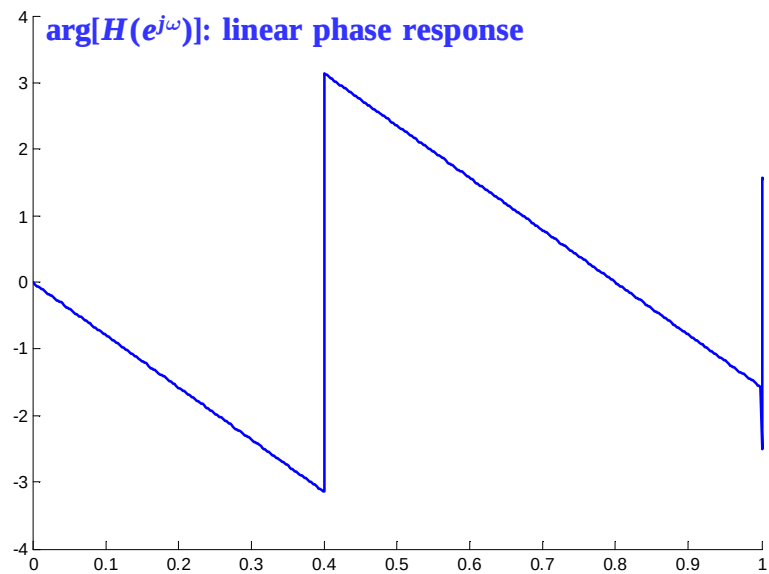
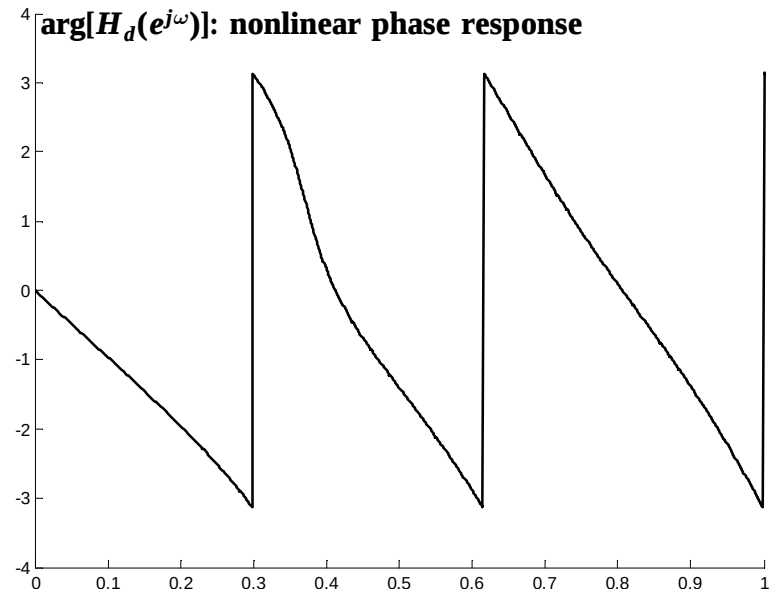
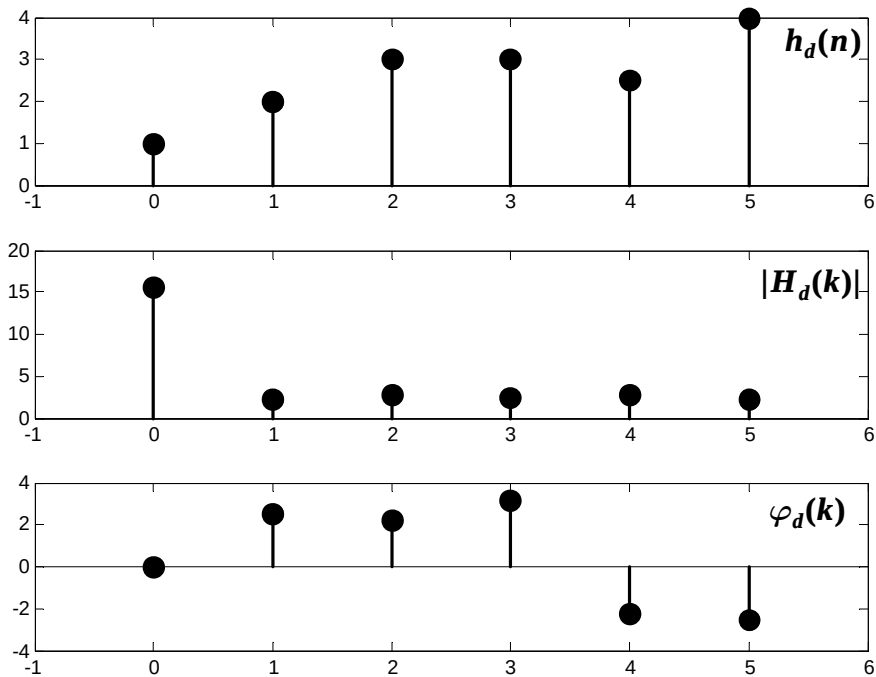




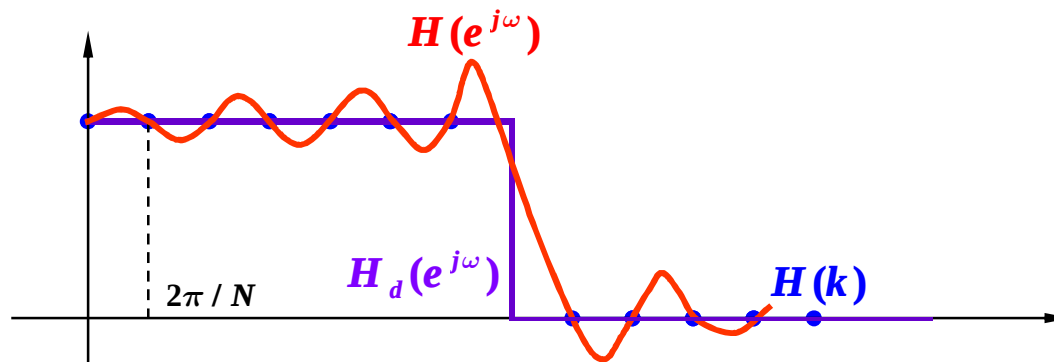


第二种抽样
偶对称 $N = 6$
偶数点





过渡带的优化设计（增加自由度）



增加过渡带抽样点，可加大阻带衰减 ξ

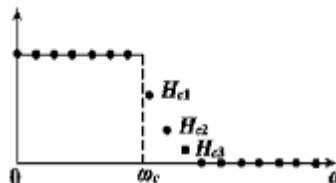
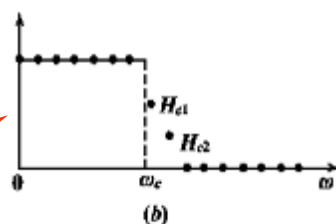
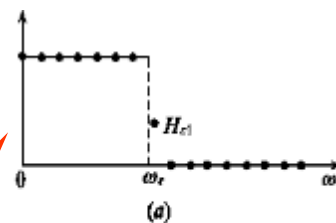
$$H(e^{j\omega}) = \sum_{k=0}^{N-1} H(k) \Phi\left(\omega - \frac{2\pi}{N}k\right)$$

不加过渡抽样点: $\xi = -20\text{dB}$

加一点: $\xi = -40 \sim -54\text{dB}$

加两点: $\xi = -60 \sim -75\text{dB}$

加三点: $\xi = -80 \sim -95\text{dB}$



NOTE

- 增加过渡带抽样点，可加大阻带衰减，但导致过渡带变宽
- 增加N，使抽样点变密，减小过渡带宽度，但增加了计算量

优点：频域直接设计；窄带

缺点：抽样频率只能是 $2\pi/N$ 或者 π/N 的整数倍，且截止频率 ω_c 不能任意取值(采样点可能无法触及)

例：利用频率抽样法设计一个频率特性为矩形的理想低通滤波器，截止频率为 0.5π ，抽样点数为 $N=33$ ，要求滤波器具有线性相位。

解：理想低通频率特性：

$$|H_d(e^{j\omega})| = \begin{cases} 1 & 0 \leq \omega \leq \omega_c \\ 0 & \text{otherwise} \end{cases}$$

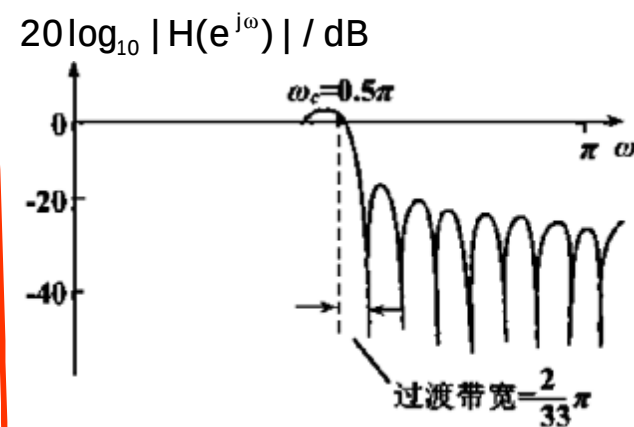
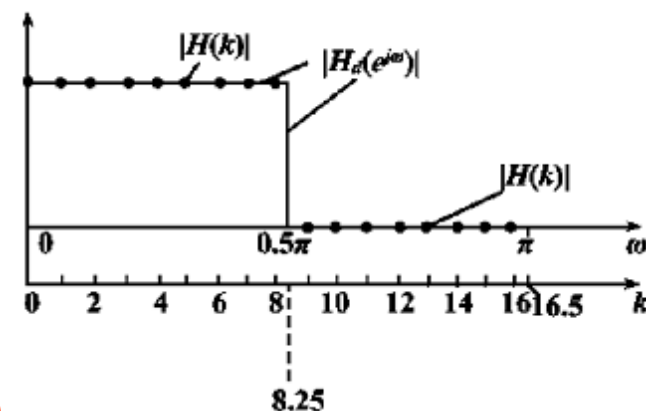
按第一种频率抽样方式， $N=33$ ，得抽样点

$$|H(k)| = \begin{cases} 1 & 0 \leq k \leq \text{Int}\left[\frac{N\omega_c}{2\pi}\right] = \frac{N-1}{4} = 8 \\ 0 & \text{Int}\left[\frac{N\omega_c}{2\pi}\right] + 1 = 9 \leq k \leq \frac{N-1}{2} = 16 \end{cases}$$

得线性相位FIR滤波器的频率响应：

$$H(e^{j\omega}) = e^{-j16\omega} \left\{ \frac{\sin\left(\frac{33\omega}{2}\right)}{33 \sin\left(\frac{\omega}{2}\right)} + \sum_{k=1}^8 \left[\frac{\sin\left[33\left(\frac{\omega}{2} - \frac{k\pi}{33}\right)\right]}{33 \sin\left(\frac{\omega}{2} - \frac{k\pi}{33}\right)} + \frac{\sin\left[33\left(\frac{\omega}{2} + \frac{k\pi}{33}\right)\right]}{33 \sin\left(\frac{\omega}{2} + \frac{k\pi}{33}\right)} \right] \right\}$$

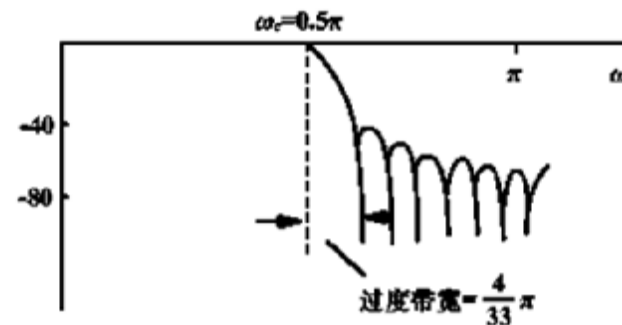
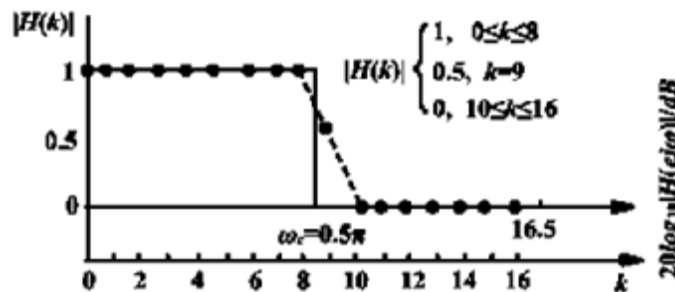
过渡带宽： $2\pi/33$ 阻带衰减：-20dB



AN EXAMPLE

* 增加一点过渡带抽样点

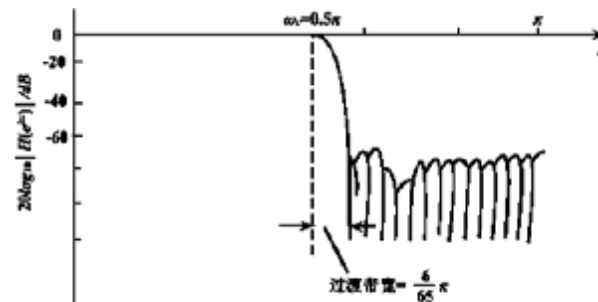
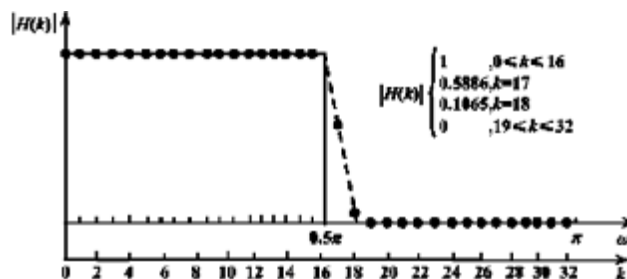
令 $H(9)=0.5$ (注意: 原本 $H(9)=0$)



过渡带宽: $4\pi/33$ 阻带衰减: -40dB

* 增加两点过渡带抽样点, 且增加抽样点数为 $N=65$

令 $H(17)=0.5886$, $H(18)=0.1065$



过渡带宽: $6\pi/65$ 阻带衰减: -60dB

FIR滤波器设计1--往年真题

设理想数字带通滤波器的幅频响应为

$$|H_d(e^{j\omega})| = \begin{cases} 1 & p/4 \leq |\omega| \leq p/2 \\ 0 & |\omega| < p/4, p/2 \leq |\omega| \leq p \end{cases}$$

要求用频率取样法设计相应的 $N = 15$ 时FIR线性相位数字带通滤波器,

- (1) 确定频率抽样序列 $H(k), k = 0, 1, \dots, N-1$
- (2) 确定滤波器的系统函数 $H(z)$
- (3) 给出滤波器的任意一种结构实现形式

解:

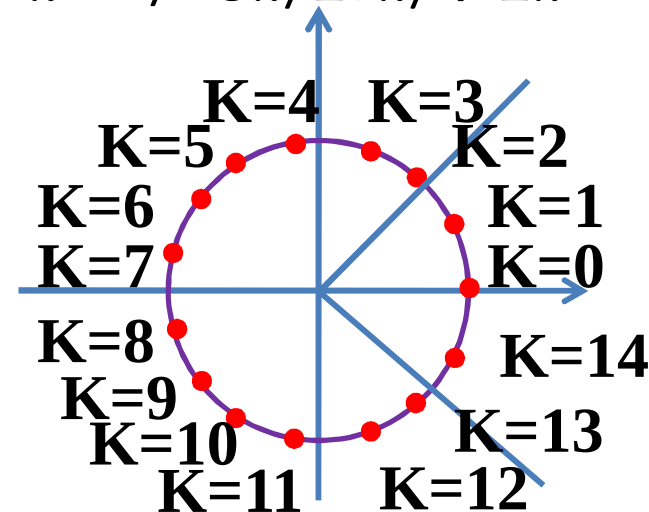
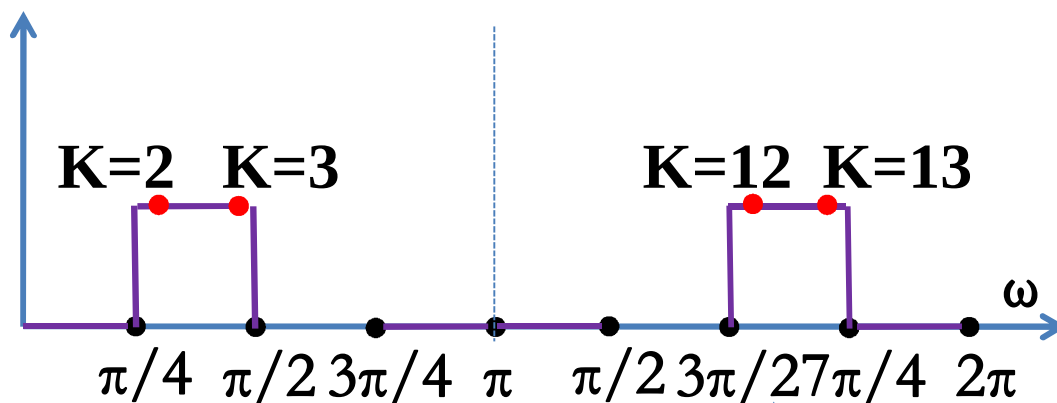
(1) 理想数字带通滤波器的幅频响为

$$H(k) = H_d(e^{j\omega}) \Big|_{\omega = \frac{2p}{N}k}$$

$$\Delta\omega = \frac{2p}{N} = \frac{2p}{15}$$

$$\left\{ \begin{array}{l} 1 < p/4 / \Delta\omega = p/4 / (2p/15) = \frac{15}{8} < 2 \\ 3 < p/2 / \Delta\omega = p/2 / (2p/15) = \frac{15}{4} < 4 \\ 11 < 3p/2 / \Delta\omega = 3p/2 / (2p/15) = \frac{45}{4} < 12 \\ 13 < 7p/4 / \Delta\omega = 7p/4 / (2p/15) = \frac{105}{8} < 14 \end{array} \right.$$

$$\Rightarrow |H_d(k)| = \begin{cases} 1, & k = 2, 3, 12, 13 \\ 0, & \text{其他} \end{cases}$$



$$H(k) = |H_d(k)| e^{-j \frac{N-1}{2} \frac{2p}{N} k} = e^{-j \frac{14}{15} p k}$$

$$k = 2, 3, 12, 13$$

$$H(k) = \begin{cases} e^{-j \frac{14}{15} p k}, & k = 2, 3, 12, 13 \\ 0, & \text{其他} \end{cases}$$

$$H(k) = |H_d(k)| e^{-j \frac{N-1}{2} \frac{2p}{N} k} = e^{-j \frac{14}{15} p k}$$

$$k = 2, 3, 12, 13$$

$$H(k) = \begin{cases} e^{-j \frac{14}{15} p k}, & k = 2, 3, 12, 13 \\ 0, & \text{其他} \end{cases}$$

$$H(0) = H(1) = H(4) = H(5) = H(6) = H(7) \\ = H(8) = H(9) = H(10) = H(11) = H(14) = 0$$

$$H(2) = e^{-j \frac{28}{15} p} = e^{j \frac{2}{15} p} = 0.91 + j0.41,$$

$$H(13) = e^{-j \frac{182}{15} p} = e^{-j \frac{2}{15} p} = 0.91 - j0.41$$

$$H(3) = e^{-j \frac{42}{15} p} = e^{-j \frac{12}{15} p} = -0.81 + j0.59,$$

$$H(12) = e^{-j \frac{168}{15} p} = e^{j \frac{12}{15} p} = -0.81 - j0.59$$

$$H(k) = H^*(N - k)$$

或采用P243 (5-238) 求解

$$H(k) = \begin{cases} |H(k)| e^{-j\frac{2\pi}{N}k\left(\frac{N-1}{2}\right)} & k = 0, \dots, \frac{N-1}{2} \\ |H(k)| e^{j\frac{2\pi}{N}(N-k)\left(\frac{N-1}{2}\right)} \text{ or } |H(N-k)| e^{j\frac{2\pi}{N}(N-k)\left(\frac{N-1}{2}\right)} & k = \frac{N+1}{2}, \dots, N-1 \end{cases}$$

$$\Rightarrow H(k) = \begin{cases} e^{-j\frac{2\pi}{N}k\left(\frac{N-1}{2}\right)} = e^{-j\frac{14}{15}\pi k}, & k = 2, 3 \\ e^{j\frac{2\pi}{N}(N-k)\left(\frac{N-1}{2}\right)} = e^{j\frac{14}{15}\pi(15-k)} = e^{-j\frac{14}{15}\pi k}, & k = 12, 13 \\ 0, & \text{其他} \end{cases}$$

$$H(0) = H(1) = H(4) = H(5) = H(6) = H(7) \\ = H(8) = H(9) = H(10) = H(11) = H(14) = 0$$

$$H(2) = e^{-j\frac{28}{15}\pi} = e^{j\frac{2}{15}\pi} = 0.91 + j0.41,$$

$$H(13) = e^{-j\frac{182}{15}\pi} = e^{-j\frac{2}{15}\pi} = 0.91 - j0.41$$

$$H(3) = e^{-j\frac{42}{15}\pi} = e^{-j\frac{12}{15}\pi} = -0.81 + j0.59,$$

$$H(12) = e^{-j\frac{168}{15}\pi} = e^{j\frac{12}{15}\pi} = -0.81 - j0.59$$

$$(2)H(z) = \frac{1-z^{-N}}{N} \sum_{k=0}^{N-1} \frac{H(k)}{1-W_N^{-k} z^{-1}}$$

$$\begin{aligned}
 H(z) &= \frac{1-z^{-15}}{15} \sum_{k=0}^{14} \frac{e^{-j\frac{14}{15}pk}}{1-W_{15}^{-k} z^{-1}} = \frac{1-z^{-15}}{15} \sum_{k=2,3,12,13} \frac{e^{-j\frac{14}{15}pk}}{1-e^{-j\frac{2p}{15}k} z^{-1}} \\
 &= \frac{1-z^{-15}}{15} \left(\frac{e^{-j\frac{14}{15}p^2}}{1-e^{-j\frac{2p}{15}^2} z^{-1}} + \frac{e^{-j\frac{14}{15}p^3}}{1-e^{-j\frac{2p}{15}^3} z^{-1}} + \frac{e^{-j\frac{14}{15}p^{12}}}{1-e^{-j\frac{2p}{15}^{12}} z^{-1}} + \frac{e^{-j\frac{14}{15}p^{13}}}{1-e^{-j\frac{2p}{15}^{13}} z^{-1}} \right) \\
 &= \frac{1-z^{-15}}{15} \left(\left(\frac{e^{-j\frac{14}{15}p^2}}{1-e^{-j\frac{2p}{15}^2} z^{-1}} + \frac{e^{-j\frac{14}{15}p^{13}}}{1-e^{-j\frac{2p}{15}^{13}} z^{-1}} \right) + \left(\frac{e^{-j\frac{14}{15}p^3}}{1-e^{-j\frac{2p}{15}^3} z^{-1}} + \frac{e^{-j\frac{14}{15}p^{12}}}{1-e^{-j\frac{2p}{15}^{12}} z^{-1}} \right) \right) \\
 &= \frac{1-z^{-15}}{15} \left(\left(\frac{e^{-j\frac{2}{15}p}}{1-e^{-j\frac{4p}{15}} z^{-1}} + \frac{e^{j\frac{2}{15}p}}{1-e^{j\frac{4p}{15}} z^{-1}} \right) + \left(\frac{e^{-j\frac{4}{5}p}}{1-e^{-j\frac{2p}{5}} z^{-1}} + \frac{e^{j\frac{4}{5}p}}{1-e^{j\frac{2p}{5}} z^{-1}} \right) \right)
 \end{aligned}$$

$$\begin{aligned}
&= \frac{1-z^{-15}}{15} \left(\left(\frac{e^{-j\frac{2}{15}p}}{1-e^{-j\frac{4p}{15}}z^{-1}} + \frac{e^{j\frac{2}{15}p}}{1-e^{j\frac{4p}{15}}z^{-1}} \right) + \left(\frac{e^{-j\frac{4}{5}p}}{1-e^{-j\frac{2p}{5}}z^{-1}} + \frac{e^{j\frac{4}{5}p}}{1-e^{j\frac{2p}{5}}z^{-1}} \right) \right) \\
&= \frac{1-z^{-15}}{15} \left(\frac{e^{-j\frac{2}{15}p}(1-e^{j\frac{4p}{15}}z^{-1}) + e^{j\frac{2}{15}p}(1-e^{-j\frac{4p}{15}}z^{-1})}{(1-e^{-j\frac{4p}{15}}z^{-1})(1-e^{j\frac{4p}{15}}z^{-1})} + \frac{e^{-j\frac{4}{5}p}(1-e^{j\frac{2p}{5}}z^{-1}) + e^{j\frac{4}{5}p}(1-e^{-j\frac{2p}{5}}z^{-1})}{(1-e^{-j\frac{2p}{5}}z^{-1})(1-e^{j\frac{2p}{5}}z^{-1})} \right) \\
&= \frac{1-z^{-15}}{15} \left(\frac{(e^{j\frac{2}{15}p} + e^{-j\frac{2}{15}p}) - (e^{j\frac{2}{15}p} + e^{-j\frac{2}{15}p})z^{-1}}{1-2\cos\frac{4p}{15}z^{-1} + z^{-2}} + \frac{(e^{j\frac{4}{5}p} + e^{-j\frac{4}{5}p}) - (e^{j\frac{2}{5}p} + e^{-j\frac{2}{5}p})z^{-1}}{1-2\cos\frac{2p}{5}z^{-1} + z^{-2}} \right) \\
&= \frac{1-z^{-15}}{15} \left(\frac{2\cos\frac{2p}{15} - 2\cos\frac{2p}{15}z^{-1}}{1-2\cos\frac{4p}{15}z^{-1} + z^{-2}} + \frac{2\cos\frac{4p}{5} - 2\cos\frac{2p}{5}z^{-1}}{1-2\cos\frac{2p}{5}z^{-1} + z^{-2}} \right) \\
&= \frac{1-z^{-15}}{15} \left(\frac{1.83-1.83z^{-1}}{1-1.34z^{-1} + z^{-2}} + \frac{-1.62-0.62z^{-1}}{1-1.83z^{-1} + z^{-2}} \right)
\end{aligned}$$

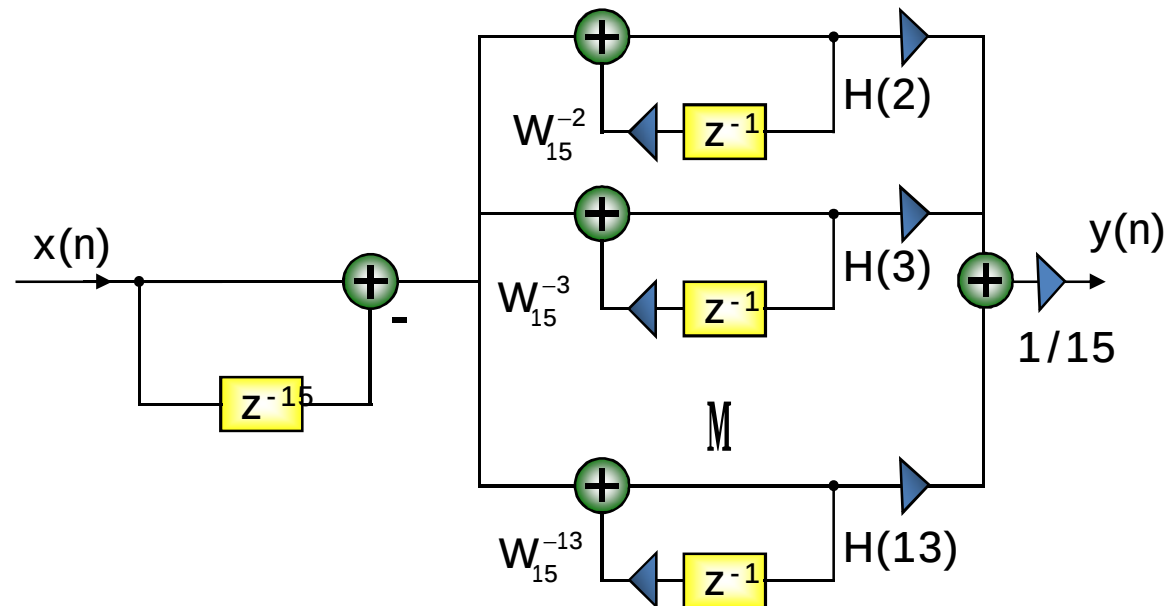
(3)

$$H(2) = e^{-j\frac{28}{15}p} = e^{j\frac{2}{15}p} = 0.91 + j0.41,$$

$$H(13) = e^{-j\frac{182}{15}p} = e^{-j\frac{2}{15}p} = 0.91 - j0.41$$

$$H(3) = e^{-j\frac{42}{15}p} = e^{-j\frac{12}{15}p} = -0.81 + j0.59,$$

$$H(12) = e^{-j\frac{168}{15}p} = e^{j\frac{12}{15}p} = -0.81 - j0.59$$



FIR滤波器设计2--往年真题

设理想数字高通滤波器的幅频响应为

$$|H_d(e^{j\omega})| = \begin{cases} 1 & p/2 \leq |\omega| \leq p \\ 0 & |\omega| < p/2 \end{cases}$$

用频率取样法设计相应的 $N=11$ 时FIR线性相位数字高通滤波器,

(1) 确定频域取样序列 $H(k), k=0, 1, \dots, N-1$

(2) 确定滤波器的系统函数 $H(z)$

(3) 确定滤波器的频率响应 $H(e^{j\omega})$

(4) 确定滤波器的单位脉冲响应 $h(n)$

(5) 给出滤波器的任意一种结构实现形式

注：四舍五入到小数点后2位



解：(1) 理想数字高通滤波器的幅频响为

$$H(k) = H_d(e^{j\omega}) \Big|_{\omega = \frac{2p}{N}k}$$

$$\Delta\omega = \frac{2p}{N} = \frac{2p}{11}$$

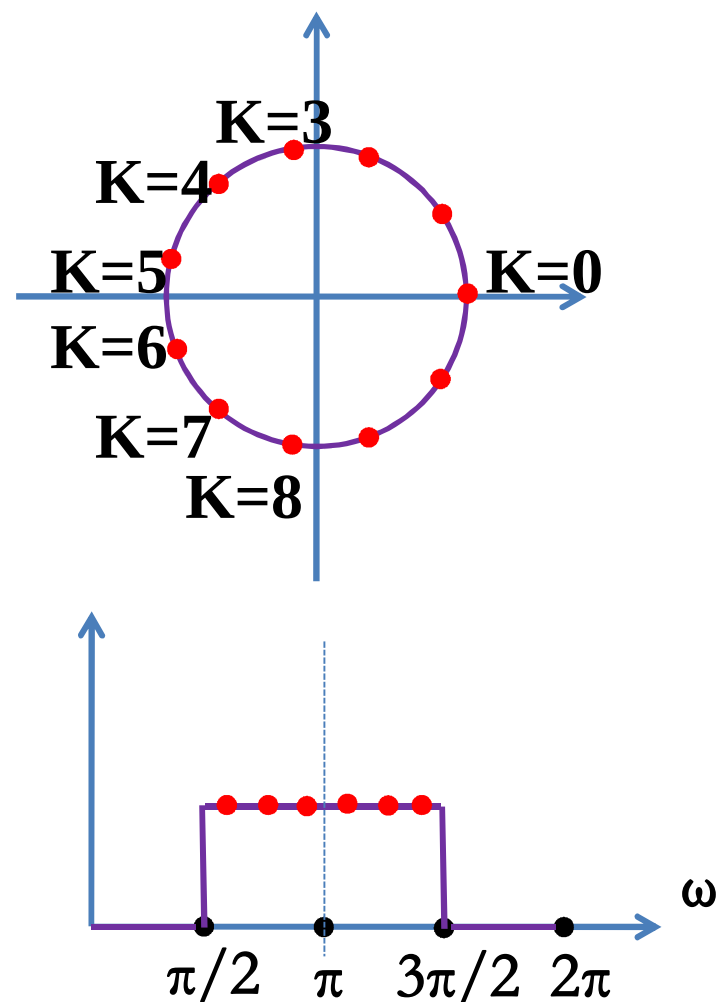
$$\begin{cases} p/2 / \Delta\omega = p/2 / (2p/11) = \frac{11}{4} < 3 \\ 3p/2 / \Delta\omega = 3p/2 / (2p/11) = \frac{33}{4} > 8 \end{cases}$$

$$\Rightarrow |H_d(k)| = \begin{cases} 1, & 3 \leq k \leq 8 \\ 0, & \text{其他} \end{cases}$$

$$H(k) = |H_d(k)| e^{-j \frac{N-1}{2} \frac{2p}{N} k} = e^{-j \frac{10}{11} p k}$$

$$k = 3, 4, 5, 6, 7, 8$$

$$H(k) = \begin{cases} e^{-j \frac{10}{11} p k}, & 3 \leq k \leq 8 \\ 0, & \text{其他} \end{cases}$$



$$H(k) = \begin{cases} e^{-j\frac{10}{11}pk}, & 3 \leq k \leq 8 \\ 0, & \text{其他} \end{cases}$$

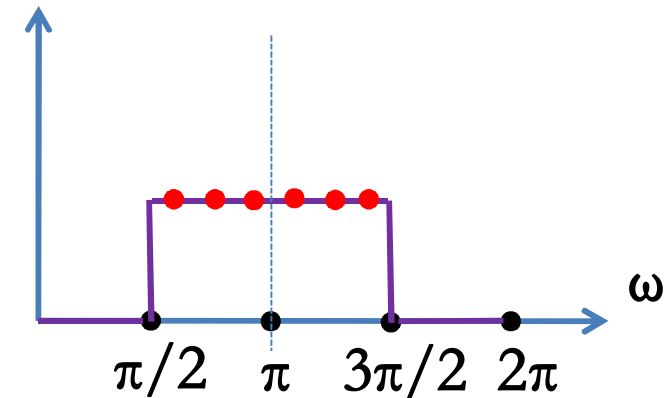
$$H(0) = H(1) = H(2) = H(9) = H(10) = 0$$

$$H(3) = e^{-j\frac{30}{11}p} = e^{-j\frac{8}{11}p} = -0.65 - j0.76, \quad H(8) = e^{-j\frac{80}{11}p} = e^{j\frac{8}{11}p} = -0.65 + j0.76$$

$$H(4) = e^{-j\frac{40}{11}p} = e^{j\frac{4}{11}p} = 0.42 + j0.91, \quad H(7) = e^{-j\frac{70}{11}p} = e^{-j\frac{4}{11}p} = 0.42 - j0.91$$

$$H(5) = e^{-j\frac{50}{11}p} = e^{-j\frac{6}{11}p} = -0.14 - j0.99, \quad H(6) = e^{-j\frac{60}{11}p} = e^{j\frac{6}{11}p} = -0.14 + j0.99$$

$$H(k) = H^*(N - k)$$



$$(2)H(z) = \frac{1-z^{-N}}{N} \sum_{k=0}^{N-1} \frac{H(k)}{1-W_N^{-k}z^{-1}}$$

$$H(z) = \frac{1-z^{-11}}{11} \sum_{k=0}^{10} \frac{e^{-j\frac{10}{11}pk}}{1-W_{11}^{-k}z^{-1}} = \frac{1-z^{-11}}{11} \sum_{k=0}^{10} \frac{e^{-j\frac{10}{11}pk}}{1-e^{-j\frac{2p}{11}k}z^{-1}}$$

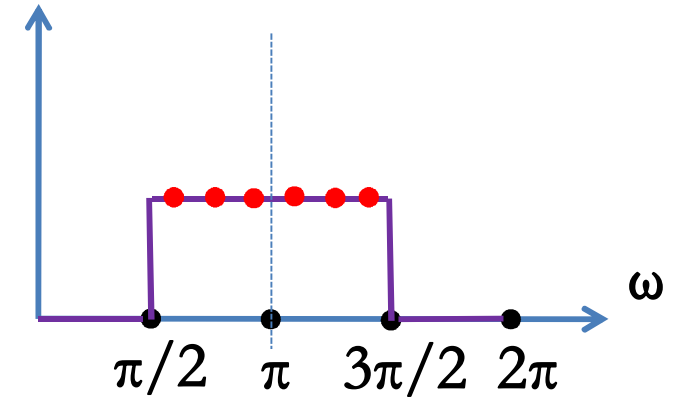
$$= \frac{1-z^{-11}}{11}$$

$$\left(\frac{e^{-j\frac{10}{11}p^3}}{1-e^{-j\frac{2p}{11}3}z^{-1}} + \frac{e^{-j\frac{10}{11}p^4}}{1-e^{-j\frac{2p}{11}4}z^{-1}} + \frac{e^{-j\frac{10}{11}p^5}}{1-e^{-j\frac{2p}{11}5}z^{-1}} + \frac{e^{-j\frac{10}{11}p^6}}{1-e^{-j\frac{2p}{11}6}z^{-1}} + \frac{e^{-j\frac{10}{11}p^7}}{1-e^{-j\frac{2p}{11}7}z^{-1}} + \frac{e^{-j\frac{10}{11}p^8}}{1-e^{-j\frac{2p}{11}8}z^{-1}} \right)$$

$$= \frac{1-z^{-11}}{11}$$

$$\left(\left(\frac{e^{-j\frac{10}{11}p^3}}{1-e^{-j\frac{2p}{11}3}z^{-1}} + \frac{e^{-j\frac{10}{11}p^8}}{1-e^{-j\frac{2p}{11}8}z^{-1}} \right) + \left(\frac{e^{-j\frac{10}{11}p^4}}{1-e^{-j\frac{2p}{11}4}z^{-1}} + \frac{e^{-j\frac{10}{11}p^7}}{1-e^{-j\frac{2p}{11}7}z^{-1}} \right) + \left(\frac{e^{-j\frac{10}{11}p^5}}{1-e^{-j\frac{2p}{11}5}z^{-1}} + \frac{e^{-j\frac{10}{11}p^6}}{1-e^{-j\frac{2p}{11}6}z^{-1}} \right) \right)$$

$$= \frac{1-z^{-11}}{11} \left(\frac{-1.31+1.31z^{-1}}{1-0.28z^{-1}+z^{-2}} + \frac{0.83-0.83z^{-1}}{1-1.31z^{-1}+z^{-2}} + \frac{-0.28+0.28z^{-1}}{1+1.92z^{-1}+z^{-2}} \right)$$



$$(3) H(e^{j\omega}) = H(z) \Big|_{z=e^{j\omega}} = \frac{1 - e^{-j\omega 11}}{11} \sum_{k=0}^{10} \frac{H(k)}{1 - W_{11}^{-k} e^{-j\omega}}$$

$$(4) h(n) = \frac{1}{N} \sum_{k=0}^{10} H(k) e^{j \frac{2\pi}{11} kn}$$

$$h(0) = h(10) = -0.0694$$

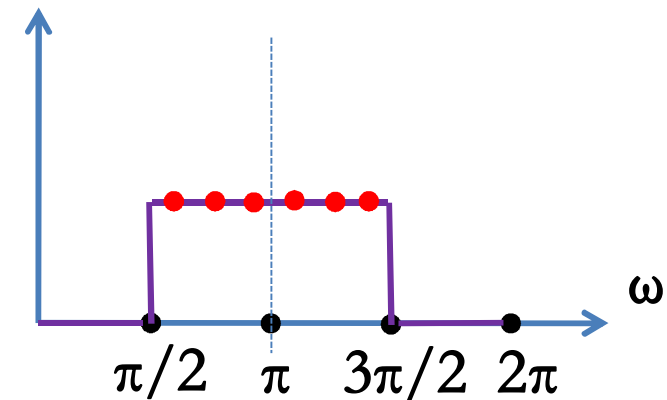
$$h(1) = h(9) = 0.0540$$

$$h(2) = h(8) = 0.1094$$

$$h(3) = h(7) = -0.0474$$

$$h(4) = h(6) = -0.3194$$

$$h(5) = 0.5455$$



(5) 给出滤波器的任意一种结构实现形式
频率取样型

$$H(3) = e^{-j\frac{30}{11}p} = e^{-j\frac{8}{11}p} = -0.65 - j0.76, \quad H(8) = e^{-j\frac{80}{11}p} = e^{j\frac{8}{11}p} = -0.65 + j0.76$$

$$H(4) = e^{-j\frac{40}{11}p} = e^{j\frac{4}{11}p} = 0.42 + j0.91, \quad H(7) = e^{-j\frac{70}{11}p} = e^{-j\frac{4}{11}p} = 0.42 - j0.91$$

$$H(5) = e^{-j\frac{50}{11}p} = e^{-j\frac{6}{11}p} = -0.14 - j0.99, \quad H(6) = e^{-j\frac{60}{11}p} = e^{j\frac{6}{11}p} = -0.14 + j0.99$$

